Data Profile for State Licensing Programs and Policies

Click on link to go to information on the state's licensing program:

- State Licensing Agency
- Number of Licensed Facilities
- Licensing Staff
- Number of Licensing Staff
- Types of Inspections
- Frequency of Inspections
- Frequency of Licensing

- Inspections and Monitoring
- Complaint Investigations
- Enforcement Actions
- Access to Licensing Information
- Licensing Fees
- Licensing Staff Requirements
- Quality Initiatives

STATE LICENSING AGENCY

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LICENSING AGENCY CONTACT	INFORMATION	
Agency name: Minnesota Departr	ment of Human Services	
Address: Division of Licensing		
444 Lafayette Road Nort	th	
City: St. Paul	State: MN	Zip : 55155-3842
Phone : 651-296-3971	Toll Free:	
Fax: 651-297-1490	E-mail:	
Web site: http://www.dhs.state.m	nn.us/Licensing/	

NUMBER OF LICENSED FACILITIES

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NUMBER OF LICENSED FACILITIES	
Child care centers	1,577
Small family child care homes	3,070
Large/group family child care homes	10,015
Other types of licensed facilities:	0
Total number of licensed facilities	14,662
Data notes:	

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LICENSED CAPACITY	
Child care centers	88,769
Small family child care homes	30,080
Large/group family child care homes	118,618
Other types of licensed facilities:	0
Total licensed capacity	237,467
Data notes: N/A	•

LICENSING STAFF

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LICENSING LINE STAFF ASSIGNMENT	
Line staff assignments to inspect child care facilities:	
Assigned to inspect only child care centers	
Assigned to inspect only family child care homes	
Assigned to inspect both centers and family child care homes	
Assigned to inspect child care facilities and other human service programs for children	
Assigned to inspect child care facilities and other human service programs for children and/or adults	✓
Assignments vary by county or area of the state	✓
Assigned specifically to conduct complaint investigations	✓
Other type of assignment:	

Data notes: By county or area of the state. County agencies, under delegated licensing responsibilities from the MN Department of Human Services, have licensors assigned to inspect all FCC homes.

NUMBER OF LICENSING STAFF



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NUMBER OF LINE STAFF	
Total number of full-time equivalent line staff	7
Data notes:	

LINE STAFF ESTIMATED CASELOAD		
Estimated caseload ratio (number of facilities per staff)	225:1	
Data notes: The caseload was calculated by NARA/NCCIC by dividing the total number		
of licensed programs by the total number of licensing line staff. Caseload ratio based on		
total number of child care centers. Inspections are not conducted on FCC homes.		

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NUMBER OF SUPERVISORS	
Total number of full-time equivalent licensing supervisors	1
Data notes:	

TYPES OF INSPECTIONS

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Type of Inspection Conducted	Child Care Centers	Small Family Child Care Homes	Large/Group Family Child Care Homes
Prior to issuing a license	✓	✓	✓
Announced	✓		
Unannounced			
Data notes:			
License renewal			
Announced			
Unannounced			
Data notes: All child care center licenses are issued from January to December each calendar year and are renewed annually. The licensing visits, conducted every 2 years, are not tied to the annual renewal process.			
Routine compliance	✓		
Announced			
Unannounced	✓		

Data notes: Once a child care center receives its initial license, a licensing review visit is conducted approximately 1 year later. From that point on, routine licensing visits are conducted every 2 years.

FREQUENCY OF INSPECTIONS



FREQUENCY OF REQUIRED INSPECTION VISITS	
Type of Facility	Frequency of Inspection Visits
Child care centers	Once every 2 years
Small family child care homes	Not inspected regularly
Large/group family child care homes	Not inspected regularly

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Other frequency of inspection:
Data notes:

FREQUENCY OF LICENSING

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LENGTH OF LICENSE	
Type of Facility	Length of License
Child care centers	1 year
Small family child care homes	2 years
Large/group family child care homes	2 years
Other length of license:	
Data notes:	

INSPECTIONS AND MONITORING

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FULL COMPLIANCE REVIEW	
Type of Facility	Frequency of Full Compliance Review
Child care centers	Other frequency of full compliance review
Small family child care homes	Other frequency of full compliance review
Large/group family child care homes	Once every 2 years
Data notes:	

ABBREVIATED COMPLIANCE FORM (Note: An abbreviated compliance form is a tool used during inspections that has an abbreviated list of the requirements on it, not all requirements. Sometimes called an "indicator checklist.") Abbreviated compliance form used for inspections Child care centers Yes Use of form described: The abbreviated compliance form (called a Modified Licensing Review in Minnesota) is used routinely except in the instances indicated - that is, a full compliance review is conducted at a child care center during the licensing review visit 1 year after issuance of the initial license, if a center has had substantiated maltreatment or five substantiated complaint investigation findings since the last licensing review, at the conclusion of a conditional license period, and when the findings during a modified licensing review warrant a full review of applicable standards. Small family child care homes No Use of form described: Large/group family child care homes No

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Use of form described:	
Data notes:	
State has policies on when to switch from abbreviated con	npliance review to a
full compliance review	T
Child care centers	Yes
Small family child care homes	No
Large/group family child care homes	No
Data notes:	

DIFFERENTIAL MONITORING (Note: Differential monitoring is the frequency and/or depth of monitoring inspections that is based on an assessment of the level of compliance with regulations. May also be called "risk assessment monitoring.")				
System of differential monitoring based on compliance rec	ords used for			
inspections				
Child care centers	Yes			
System of assessing compliance and differential monitoring: A child care center will see increased monitoring inspections if it has been issued a negative licensing action, such as a conditional license. In addition, the depth of monitoring is adjusted as described – that is, in lieu of a modified licensing review, a full compliance review is done during the licensing review visit 1 year after issuance of the initial license if a center has had substantiated maltreatment or five substantiated complaint investigation findings since the last licensing review, at the conclusion of a conditional license period, and when the findings during a modified licensing review warrant a full review of applicable standards.				
Small family child care homes No				
System of assessing compliance and differential monitoring:				
Large/group family child care homes No				
System of assessing compliance and differential monitoring:				
Data notes:				

TECHNICAL ASSISTANCE AND CONSULTATION					
Technical assistance/consultation provided to help licensees achieve compliance with licensing regulations					
Child care centers	Yes				
Small family child care homes No					
Large/group family child care homes No					
Technical assistance/consultation provided to help licensees move beyond minimum licensing regulations					
Child care centers No					
Small family child care homes	No				
Large/group family child care homes	No				
Data notes:					

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Assistance Center	✓ = Yes	NARA = National Association for Regulatory Administration
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Means of providing technical assistance/consultation	Child Care Centers	Small Family Child Care Homes	Large/Group Family Child Care Homes
During all inspection visits			
During application visits	✓		
During routine inspection visits	✓		
During renewal inspection visits			
On the telephone	✓		
As needed by licensee			
Other:			
Data notes:			
Referrals made to other agencies/organizations/individuals for technical			
assistance			
Child care centers			
Small family child care homes			
Large/group family child care homes			
Agencies, organizations, or individuals used for technical assistance referrals:			rrals:

DATA AUTOMATION	
Basic licensing data is automated	Yes
Data notes: N/A	
Portable computers and inspection software used for inspection	ections
Child care centers	No
Small family child care homes	No
Large/group family child care homes	No
Data notes:	
Other tools used for inspections and monitoring: We currently have a project committee working on development and implementation of a PDA program to be used to collect data during routine licensing review visits.	Yes

COMPLAINT INVESTIGATIONS

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INVESTIGATIONS	
Licensing agency conducts complaint investigations	
Child care centers	Yes
Small family child care homes	No
Large/group family child care homes	No
Data notes:	

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	✓ = Yes	NARA = National Association for Regulatory Administration
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FCC = Family child care N/A = Not Applicable/Not Addressed	FCC = Family child care	N/A = Not Applicable/Not Addressed

State uses same staff who conduct inspections for initial li compliance to conduct complaint investigations	censure and routine
Child care centers	Yes
Small family child care homes	No
Large/group family child care homes	No
State has separate staff who only work on complaint inves	tigations
Child care centers	No
Small family child care homes	No
Large/group family child care homes	No
State uses other complaint investigation staff	
Child care centers	No
Small family child care homes	No
Large/group family child care homes	No
Other complaint investigation staff described:	
Data notes:	
State investigates anonymous complaints	
Child care centers	Yes
Small family child care homes	No
Large/group family child care homes	No
Data notes:	

NUMBER OF COMPLAINTS				
Number of Licensing Complaints	Child Care Centers	Small Family Child Care Homes	Large/Group Family Child Care Homes	Total
Number of licensing complaints filed	544	0	0	544
Number of substantiated licensing complaints	393	0	0	393

Data notes: At centers, number of complaints – 544 reports received; number substantiated – 393 licensing violations determined. Complaints regarding FCC homes are received and investigated by county agencies under delegated licensing responsibilities. These numbers are not reported to the state Department of Human Services.

Nature of licensing complaints:

- 1. Behavior guidance
- 2. Supervision
- 3. Cleanliness and other facility issues
- 4. Staffing issues (staff/child ratios, distribution of staff, etc.)

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	✓ = Yes	NARA = National Association for Regulatory Administration
Assistance Center		Assistance Center
FCC = Family child care $N/A = Not Applicable/Not Addressed$	FCC = Family child care	N/A = Not Applicable/Not Addressed

CHILD ABUSE AND NEGLECT	
Agencies that investigate child abuse and neglect complaints against child centers and family child care homes	
Licensing agency	✓
Protective services	✓
Specialized unit for child abuse and neglect	✓
Other agency:	

Data notes:

Number of child abuse and neglect complaints	Child Care Centers	Small Family Child Care Homes	Large/Group Family Child Care Homes	Total
Number of child abuse and neglect complaints filed	185	0	0	185
Number of substantiated child abuse and neglect complaints	43	0	0	43

Data notes: At centers, number of child abuse/neglect complaints – 185 maltreatment reports received; number substantiated – 43 maltreatment findings.

ENFORCEMENT ACTIONS

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USE OF ENFORCEMENT ACTIONS						
Enforcement Actions		Care ters	Child	Family Care nes	Family	'Group ' Child Homes
	Used	Num.	Used	Num.	Used	Num.
Revocation of license	✓	0	✓	90	✓	0
Denial of license	✓	0	✓	63	✓	0
Immediate closure of facility	✓	1	✓	56	✓	0
Non-renewal of license		0		0		0
Probation		0		0		0
Conditional license	✓	4	✓	84	✓	0
Consent agreement		0		0		0
Civil fine	✓	67	✓	35	✓	0

Key: ✓ = Yes	
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Criminal fine					
		0		0	0
Imprisonment					
		0		0	0
Other enforcement actions:	./		./		
Suspension of license		1	•	23	0

Data notes: The data in column 4 under the "Small FCC Homes" column represents a combined total of actions issued to both small FCC homes and large/group FCC homes in calendar year 2004.

Legal representation for licensing agency: State Attorney General's Office.

ACCESS TO LICENSING INFORMATION

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LICENSING INFORMATION ON THE INTERNET	
Licensing inspection reports are available on the Internet for parent and public access	No, but state is planning to put inspection reports on the Internet
Data notes:	
Complaints against facilities are included in licensing reports on the Internet	N/A
Data notes:	

LICENSING FEES

LICENSING FEES CHARGED Fees are charged for a license



Child care centers		Yes		
			No	
		No		
Data notes:				
Child Care Centers	Child C	Care	Large/Group Family Child Care Homes	
✓				
		Centers Child C	Child Care	

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Amount of Licensing Fee	Child Care Centers	Small Family Child Care Homes	Large/Group Family Child Care Homes
Flat fee			
Fee based on maximum number of children in facility	0-24 children: \$300 25-49: \$450 50-74: \$600 75-99: \$750 100-124: \$900 125-149: \$1200 150-174: \$1400 175-199: \$1600 200-224: \$1800 225+: \$2000		
Other type of fee:			
Data notes:			

LICENSING STAFF REQUIREMENTS

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LICENSING STAFF POSITION	
Name of licensing line staff position	Human Services
	Licensor

STAFF QUALIFICATIONS		
Minimum entry-level qualifications for child care licensing	Minimum entry-level qualifications for child care licensing line staff:	
Master's degree		
Bachelor's degree	✓	
Associate's degree		
College-level certification program or credential		
Some college education		
Certification program or credential (not college level)		
High school diploma or GED		
Experience working with children in the early care and education field	✓	
No required educational qualifications		
Other qualifications:		
Data notes:	<u> </u>	

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Content and experience requirements	
Degrees and/or courses must be in early childhood education, child development, or a related field	No
Data notes:	
Content areas or majors accepted: Coursework and/or degree(s) in human services	
field.	
Experience working in a setting with children required prior to	Yes
working as a licensor	
Data notes:	

STAFF TRAINING	
Annual training requirements	
Additional training required each year	No
Data notes:	
Amount of required training:	
Topics covered in training:	
Regulatory issues	
State's regulations	
Health and safety issues	
Identifying child abuse and neglect	
State's licensing policies and procedures	
Fire safety	
Early childhood education/child development	
Adult development	
Business administration/management	
Supervision	
Other training topics:	
Data notes:	
Sources of training for child care licensing line staff:	
Licensing agency	✓
Community-based organization	
Local/state conferences	✓
National conferences	
Outside consultants	
College/university	
No sources of training	
Do not know	
Other training sources:	
Data notes:	•

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FUNDING TO SUPPORT LICENSING STAFF			
Sources of funding to hire and support child care licensing staff:			
General state funds	✓		
Child Care and Development Fund (CCDF)	✓		
Temporary Assistance for Needy Families (TANF)			
Social Services Block Grant (SSBG)			
Other federal funds: No response	✓		
Private funds			
Licensing fees			
Do not know			
Other funding sources:			
Data notes:			

QUALITY INITIATIVES

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LICENSING AGENCY'S INVOLVEMENT IN QUALITY IN	MPROVEMENT INITIATIVES
Tiered quality strategy:	
Lead agency	
Initiative in same agency as licensing	
Licensing participates in planning	✓
Licensing not involved	
State does not have initiative	
Data notes:	•
Accreditation facilitation project:	
Lead agency	
Initiative in same agency as licensing	
Licensing participates in planning	
Licensing not involved	✓
State does not have initiative	
Data notes:	
Professional development system:	
Lead agency	
Initiative in same agency as licensing	
Licensing participates in planning	✓
Licensing not involved	
State does not have initiative	
Data notes:	•

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Assessing quality with environment rating scale:	
Lead agency	
Initiative in same agency as licensing	
Licensing participates in planning	
Licensing not involved	✓
State does not have initiative	
Data notes:	
Other quality initiative:	
Lead agency	
Initiative in same agency as licensing	
Licensing participates in planning	
Licensing not involved	
State does not have initiative	
Data notes:	

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Data Profile for State Child Care Center Staff Qualifications

Click on link to go to information:

STAFF QUALIFICATIONS FOR CENTER ROLES

- <u>Directors</u>
- Master Teachers
- Teachers
- Assistant Teachers
- Aides

STAFF QUALIFICATIONS FOR DIRECTORS

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EDUCATION AND EXPERIENCE REQUIREMENTS FOR DIRECTORS		
State regulates role	Yes	
State requires education and/or experience for role	Yes	
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)	2	

Qualification alternative #1: 90 hours earned in any combination of accredited courses in			
staff supervision, human relations, and child development.			
Time frame for requirements:			
Preservice	✓		
Orientation to role/initial hiring period			
During a certain period of time: N/A			
Experience requirements:			
Amount of experience required	1,040 hours		

Qualification alternative #2: Nine quarter credits earned in any combination of accredited courses in staff supervision, human relations, and child development.		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		

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Addressed	Care and Early Education

During a certain period of time:			
Experience requirements:			
Amount of experience required			1,040 hours
Types of training, credentials, and	degre	es included in qualificati	on alternatives:
Clock hours	✓	Bachelor's degree	
Credit hours	✓	Bachelor's degree or higher	
Child Development Associate (CDA) credential		Bachelor's degree in a related field: N/A	
State credential		Bachelor's degree in early education	y care and
Infant and toddler credential		Bachelor's degree in early care and education or higher	
Director credential		Master's degree	
Other state credentials: N/A		Master's degree in a related field: N/A	
Associate's degree		Master's degree in early education	care and
Associate's degree in a related field: N/A		PhD or advanced degree	

State has additional requirements for experience to qualify for role	Yes
Experience must be from working with children	✓
Experience must be with a specific age group of children	
Experience must be in a specific setting	✓
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

Teaching certificate/license

Associate's degree in early care and

education

Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional development system (i.e., enroll in a practitioner registry)	No

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Addressed	Care and Early Education

STAFF QUALIFICATIONS FOR MASTER TEACHERS

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EDUCATION AND EXPERIENCE REQUIREMENTS FOR MASTER TEACHERS				
State regulates role			No	
State requires education and/or experience for role		No		
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)		O		
Types of training, credentials, and degrees included in qualification alternatives:			es:	
Clock hours		Bachelor's degree		

Types of training, credentials, and degrees included in qualification alternatives:			
Clock hours	Bachelor's degree		
Credit hours	Bachelor's degree or higher		
Child Development Associate (CDA) credential	Bachelor's degree in a related field: N/A		
State credential	Bachelor's degree in early care and education		
Infant and toddler credential	Bachelor's degree in early care and education or higher		
Director credential	Master's degree		
Other state credentials: N/A	Master's degree in a related field: N/A		
Associate's degree	Master's degree in early care and education		
Associate's degree in a related field: N/A	PhD or advanced degree		
Associate's degree in early care and education	Teaching certificate/license		

State has additional requirements for experience to qualify for role	No
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

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Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional	No
development system (i.e., enroll in a practitioner registry)	

Key:	
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Addressed	Care and Early Education

STAFF QUALIFICATIONS FOR TEACHERS

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EDUCATION AND EXPERIENCE REQUIREMENTS FOR TEACHERS	
State regulates role Yes	
State requires education and/or experience for role	Yes
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)	11

Qualification alternative #1: Child Development Associate credential		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	1,560 hours	

Qualification alternative #2: Six quarter credits, Minnesota technical institute certificate as a Child Development Assistant			
Time frame for requirements:			
Preservice ✓			
Orientation to role/initial hiring period			
During a certain period of time:			
Experience requirements:			
Amount of experience required	2,080 hours		

Qualification alternative #3: 12 quarter credits, diploma from Association Montessori International; preprimary credential, primary diploma, or provisional certificate from the	
	tilicate from the
American Montessori Society	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	2,080 hours

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Addressed	Care and Early Education

Qualification alternative #4: 24 quarter credits		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	4,160 hours	

Qualification alternative #5: Certificate or credential for a two-year program from an accredited community college or technical college in child development or early childhood education; six quarter credits	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	1,040 hours

Qualification alternative #6: License from the Minnesota Department of Children Families, and Learning for Prekindergarten Associate; six quarter credits		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	1,040 hours	

Qualification alternative #7: Baccalaureate degree from an accredited college or		
university in any field; 18 quarter credits		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	1,040 hours	

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Addressed	Care and Early Education

Qualification alternative #8: Bachelor's degree; preprimary credential, primary diploma, or, provisional certificate from the American Montessori Society; or diploma from the Association Montessori International Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	1,040 hours	

Additional qualification alternatives:

- 9) License from the Minnesota Department of Children, Families, and Learning for elementary education without kindergarten endorsement; six quarter credits within one year of initial employment if teaching children under school age; and 520 hours as assistant teacher, aide, or student intern if teaching children under school age
- 10) License from the Minnesota Department of Children, Families, and Learning for, elementary education with a kindergarten endorsement
- 11) License from the Minnesota Department of Children, Families, and Learning for prekindergarten/ nursery

Types of training, credentials, and degrees included in qualification alternatives:			
Clock hours		Bachelor's degree	✓
Credit hours	✓	Bachelor's degree or higher	
Child Development Associate (CDA) credential	√	Bachelor's degree in a related field: N/A	
State credential		Bachelor's degree in early care and education	
Infant and toddler credential		Bachelor's degree in early care and education or higher	
Director credential		Master's degree	
Other state credentials: N/A		Master's degree in a related field: N/A	
Associate's degree		Master's degree in early care and education	
Associate's degree in a related field: N/A	✓	PhD or advanced degree	
Associate's degree in early care and education		Teaching certificate/license	✓

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State has additional requirements for experience to qualify for role	Yes
Experience must be from working with children	✓
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional development system (i.e., enroll in a practitioner registry)	No

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STAFF QUALIFICATIONS FOR ASSISTANT TEACHERS

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EDUCATION AND EXPERIENCE QUALIFICATIONS FOR ASSISTANT TEACHERS	
Yes	
Yes	
9	

Qualification alternative #1: Child Development Associate credential, center based or for	
family day care, from the Council for Early Childhood Professional Recognition	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	N/A

Qualification alternative #2: Diploma from Association Montessori International or preprimary credential primary diploma, or provisional certificate from the American	
Montessori Society and 3 quarter credits	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	520 hours

Qualification alternative #3: Minnesota technical institute certificate as a Child	
Development Assistant	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	520 hours

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Qualification alternative #4: 12 quarter credits	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	2,080 hours

Qualification alternative #5: 12 quarter credits and Minnesota license as a family day care or group family day care provider	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	2,080 hours

Qualification alternative #6: Two years full-time postsecondary education from a college or university and nine quarter credits	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	1,040 hours

rogram in child llege or technical
✓
N/A

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Qualification alternative #8: Baccalaureate degree in any field from an accredited college	
or university and nine quarter credits	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	N/A

Additional qualification alternatives:

9) License from the Minnesota Department of Children, Families, and Learning for Prekindergarten Associate

Types of training, credentials, and degrees included in qualification alternatives:			
Clock hours		Bachelor's degree	✓
Credit hours	✓	Bachelor's degree or higher	
Child Development Associate (CDA) credential	√	Bachelor's degree in a related field: N/A	
State credential		Bachelor's degree in early care and education	
Infant and toddler credential		Bachelor's degree in early care and education or higher	
Director credential		Master's degree	
Other state credentials: N/A		Master's degree in a related field: N/A	
Associate's degree		Master's degree in early care and education	
Associate's degree in a related field: N/A	√	PhD or advanced degree	
Associate's degree in early care and education		Teaching certificate/license	√

State has additional requirements for experience to qualify for role	Yes
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

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Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional	No
development system (i.e., enroll in a practitioner registry)	

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STAFF QUALIFICATIONS FOR AIDES

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EDUCATION AND EXPERIENCE QUALIFICATIONS FOR AIDES	
State regulates role	Yes
State requires education and/or experience for role	No
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)	0

Types of training, credentials, and degrees included in qualification alternatives:		
Clock hours	Bachelor's degree	
Credit hours	Bachelor's degree or higher	
Child Development Associate (CDA) credential	Bachelor's degree in a related field: N/A	
State credential	Bachelor's degree in early care and education	
Infant and toddler credential	Bachelor's degree in early care and education or higher	
Director credential	Master's degree	
Other state credentials: N/A	Master's degree in a related field: N/A	
Associate's degree	Master's degree in early care and education	
Associate's degree in a related field: N/A	PhD or advanced degree	
Associate's degree in early care and education	Teaching certificate/license	

State has additional requirements for experience to qualify for role	No
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

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Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional	No
development system (i.e., enroll in a practitioner registry)	

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Data Profile for State Child Care Center Regulations

Click on link to go to information:

LICENSING REGULATIONS

• <u>Child Care Center Regulations</u> <u>Summary</u>

DEFINITION OF LICENSED CHILD CARE/DAY CARE CENTERS

- Definition of Licensed Centers
- Exemptions from Licensing

CENTER STAFF REQUIREMENTS

- Staff Roles
- Age and High School Diploma Requirements
- Other Roles in Regulations
- Hiring Policies
- Staff Health Requirements

- Background Checks
- Orientation Training
- Health and Safety Training
- Ongoing Training

CHILD-STAFF RATIOS AND GROUP SIZE

- Child-Staff Ratios and Group Size
 By Age of Children
- Mixed-Age Groups
- Additional Requirements

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SUPERVISION OF CHILDREN

- **General Supervision Requirements**
- **Supervision of Children During Naptime**
- Supervision During **Evening/Overnight Care**
- **Supervision of Children During Outdoor Play**
- **Supervision of Children During Large Group Activities**
- **Supervision of Children** Swimming/Participating in Water **Activities**
- Supervision of Children **Transported in Vehicles**
- Supervision of Children During **Field Trips**

CARE OF CHILDREN

- **Age Definitions**
- **Children's Health Requirements**
- **Nutrition**
- **Behavior Guidance and Discipline**
- **Program and Activities**
- **Parent Involvement**

- Specialized Care Infant and **Toddler**
- Specialized Care School-Age
- Specialized Care Mildly III Children
- Specialized Care -**Evening/Overnight Care**
- **Specialized Care Drop-In Care**

FACILITY REQUIREMENTS

- **Environment**
- **Square Footage**
- Condition of Facility and **Equipment**
- Safety of Facility And Equipment
- **Outdoor Space**
- Fire Safety
- **General Emergency Plans**
- **Emergency Preparedness**
- **Security**
- **Transportation**
- **Liability Insurance**

- **Administration of Medication**
- **Medical Procedures**
- Care of Mildly III Children
- **Incident Reporting**
- **Additional Health Requirements**
- **Hand Washing**
- **Diapering**
- **Smoking Policies**
- **Hazardous Materials**
- **Firearms**
- **Animals in Facility**

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Publication of NARA and NCCIC, 2006

LICENSING REGULATIONS

	<u> </u>
CHILD CARE CENTER REGULATIONS SUMMARY	
	<u> 1</u>
Name of regulations: Chapter 9503 Child Care Centers	
Date of regulations: 09/25/1999	
State has separate regulations for the following types of c	are:
School-age care programs	
Infant and toddler care programs	
Programs for mildly ill children	
Evening/overnight care programs	
Drop-in child care programs	
Other programs: N/A	

DEFINITION OF LICENSED CHILD CARE/DAY CARE CENTERS

1			
Yes			
Text of definition: A "day program" means a child care program operated during normal waking hours (approximately 6A.m. to 6 p.m.). The program: (1) operates for more than 30 days in any 12 month period and is not excluded by			
onth period and 45 hours			
No			
are center:			
√			

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Services are provided on an ongoing/regular basis
Services are provided on a scheduled basis
Services are provided before or after school hours
Services are provided at the parent's work site
Parent is not present at work site
Provider and children are not related by blood, marriage, and/or adoption
Children are not from provider's immediate family; provider is not parent/guardian or custodian of children
Children are not from one family (siblings)
Services are provided in a non-residential setting
Services are not provided in provider's/licensee's home
Services are not provided in child's home
Services can be provided in a private facility
Services can be provided in a public facility
Services can be provided for profit
Services can be provided not for profit
Services can be provided for payment or compensation
Data notes: N/A

EXEMPTIONS FROM LICENSING	
EXEMPTIONS FROM LICENSING	<u> </u>
State has defined types of programs that are exempt from	Yes
licensing	
Characteristics of programs that are exempt from licensing:	
Maximum number of children in care to be exempt	N/A
Number of hours child care services are provided to be exempt	N/A
Services are part-day	
Services are provided irregularly/occasionally	
Program is a nursery school or preschool program	
Program is a kindergarten program	
Program is a Head Start program	
Services are provided by a relative of the children in care	
State definition of "relative": N/A	
Services are provided by parent, guardian, or custodian of the children in care	
Services are provided at no cost to the parents	
Services are provided with no compensation to the provider	
Program is a club (Boys and Girls Club, etc.)	
Program is a day camp (summer, seasonal, etc.)	✓
Services are for school-age children	✓

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Program is a recreation program	✓
Program is instructional classes for children	
Services are provided by a religious organization	
Services are provided during religious services	✓
Program offers religious instruction	✓
Services are provided in a facility where the parent is on the premises and accessible (not for employment)	*
• Casino	
• Resort	
Conference Center	
Shopping mall	
Bowling alley	
Health club	
children that provide care or supervision for periods of less than child's parent or legal guardian is in the same building as the nor present within another building that is directly contiguous to the nonresidential program is located.	nresidential program or
Services are "drop-in" care	
Services are provided by a preschool program approved by the state department of education	
Program is a preschool program operated by a public school or system	✓
Program is a preschool program operated by a private school or system	
Services provided by a public prekindergarten program	
Services provided on a military installation/base	
Facility is operated by a unit of local, state, or federal government	
Facility is operated by a college/university	
	•

Other exemptions:

- Residential or nonresidential programs that are provided to a person by an individual who is related unless the residential program is a child foster care placement made by a local social services agency or a licensed child-placing agency.
- Nonresidential programs that are provided by an unrelated individual to persons from a single related family.
- Head Start nonresidential programs which operate for less than 31 days in each calendar year.
- Nonresidential programs for children provided for a cumulative total of less than 30 days in any 12-month period

Data notes: N/A

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CENTER STAFF REQUIREMENTS

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STAFF ROLES		^
Role	Role Included in Regulations	State's Role Name
Director	Yes	Director
Master teacher	No	N/A
Teacher	Yes	Teacher
Assistant teacher	Yes	Assistant teacher
Aide	Yes	Aide

AGE AND HIGH SCHOOL DIPLOMA REQUIREMENTS			
Role	Minimum Age Requirement	Other Age Requirements	High School Diploma or GED Required
Director	18	N/A	HS diploma or GED required
Master teacher	N/A	N/A	N/A
Teacher	18	N/A	HS diploma or GED required
Assistant teacher	18	N/A	HS diploma or GED required
Aide	16	N/A	Not required

Additional requirements:

Substitute staff

A person designated as a substitute must meet the qualifications for the assigned staff position, except that the license holder may use substitutes who do not meet the qualifications for teacher in part 9503.0032, subpart 2, or assistant teacher in part 9503.0033, subpart 2, only if:

A. The amount of unqualified substitute hours per center per calendar year does not exceed 40 hours multiplied by the number of the center's full-time teacher and assistant teacher positions;

B. Unqualified substitutes are not used as teachers or assistant teachers for more than ten consecutive working days for the same group of children per calendar year; and

C. There is always a person qualified as a teacher present within the center except as qualified in part 9503.0040, subpart 2, item B.

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OTHER ROLES IN REGULATIONS			
Role	Included in Regulations	Minimum Age Requirement	Other Requirements
Substitute	✓	N/A	See notes above
Volunteer	~	N/A	Not specified; if counted in ratios must meet requirements for assigned staff position
Other roles: Driver		N/A	N/A

HIRING POLICIES		1
State requirements on reference checks	Staff	Director
References are required when hiring center staff		
References must be from certain people		
Non-relative		
Professional acquaintance		
Previous employer		
Type of references required (written, verbal, or both)	N/A	N/A
Additional requirements: N/A		

STAFF HEALTH REQUIREMENTS	1
Center facility records must include health reports on staff	
Center staff required to have a physical exam or to provide health statement from a physician	No
Required at hiring	
Required annually or at another time interval	
Required for staff having contact with children	
Required for staff having contact with food	
Center staff required to have tuberculosis screening	No
Required at hiring	
Required annually or at another time interval	
Required for staff having contact with children	
Required for staff having contact with food	
Center staff required to provide proof of immunizations	No
Required at hiring	
Required annually or at another time interval	
Required for staff having contact with children	
Required for staff having contact with food	

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Center staff must meet additional requirements regarding their	No
health	
Center staff required to provide proof of suitability to provide care to	
children	
Center staff required to have a drug, alcohol, and/or substance	
screening	
Additional requirements: N/A	

BACKGROUND CHECKS	
State requires check of criminal history records for center staff	No
State criminal history record check required	
Federal criminal history record check required	
Required for all center staff	
Required for center staff having contact with children	
Required for center staff under certain conditions (i.e., lived in state a certain amount of time)	
Required for volunteers	
Required for staff not working with children (i.e., cook, janitor, bus driver, etc.)	
State requires check of child abuse and neglect registry for center staff	No
Required for all center staff	
Required for center staff having contact with children	
Required for center staff under certain conditions (i.e., lived in state a certain amount of time)	
Required for volunteers	
 Required for staff not working with children (i.e., cook, janitor, bus driver, etc.) 	
State requires checks of fingerprint records for center staff	No
State fingerprint record check required	
Federal fingerprint record check required	
Required for all center staff	
Required for center staff having contact with children	
Required for center staff under certain conditions (i.e., lived in state a certain amount of time)	
Required for volunteers	
Required for staff not working with children (i.e., cook, janitor, bus driver, etc.)	
State requires checks of the sex offender registry	No
State requires center staff to sign a statement about criminal status	
Additional requirements: N/A	

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ORIENTATION TRAINING	1
Center staff required to complete orientation training	Yes
Required orientation training is about the facility's policies and	✓
procedures	
Required orientation training is about state's licensing regulations	✓
License applicant required to complete orientation training during the	
application process	
Licensee required to complete orientation training	
Only center director required to complete orientation training	
Center staff working directly with children required to complete	✓
orientation training	
Orientation training can count toward ongoing hour requirements for	
the first year of employment	
Center must develop and/or provide orientation training to new	✓
employees and volunteers	
Orientation training is developed and delivered by the licensing agency	

Required content for orientation training: The orientation training must include information about:

- A. The center's philosophy, child care program, and procedures for maintaining health and safety, and handling emergencies and accidents;
- B. Specific job responsibilities;
- C. The behavior guidance standards; and
- D. The reporting responsibilities specified in Minnesota Statutes, section 626.556, and part 9503.0130.

Additional requirements: N/A

HEALTH AND SAFETY TRAINING	^
Center staff required to complete first aid training	Yes
Current certification in first aid training required	✓
First aid training must be for the care of infants and/or children	
Center staff working directly with children required to complete first aid training	
At least one person on duty required to complete first aid training	
At least one person for each group of children required to complete first aid training	
Center staff required to complete CPR training	Yes
Current certification in CPR training required	✓
CPR training must be for the care of infants and/or children	✓
Center staff working directly with children required to complete CPR training	
At least one person on duty required to complete CPR training	✓
At least one person for each group of children required to complete CPR training	

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Center staff required to complete fire safety training	Yes
Fire safety training must be taught by a fire prevention professional	
Current certification in fire safety training required	
All center staff required to complete fire safety training	
Other types of health and safety training required	
Hand washing techniques and indications for hand washing	
Sudden Infant Death Syndrome prevention	✓
Lifeguard training	
Water safety	
Prevention of spread of HIV/AIDS and blood borne pathogens	
Care of sick children	
Prevention of spread of communicable disease/universal precautions	
Administration of medication	
Additional health and safety training: N/A	

ONGOING TRAINING	
Ongoing training required for directors	Yes
Number of hours required annually	
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: 2% of hours worked per year	
Ongoing training required for master teachers	No
Number of hours required annually	
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: N/A	
Ongoing training required for teachers	Yes
Number of hours required annually	
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: 2% of hours worked per year	
Ongoing training required for assistant teachers	Yes
Number of hours required annually	
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	

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Other: 2% of hours worked per year	
Ongoing training required for aides	Yes
Number of hours required annually	
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: 2% of hours worked per year	
Ongoing training hours required in specific content areas for center directors Yes	

Content of ongoing training hours:

The in service training requirement must be met by participation in early childhood development training, including

- child development;
- children with special needs;
- early childhood education methods or theory;
- curriculum planning;
- child study techniques;
- family studies;
- parent involvement;
- behavior guidance;
- child nutrition;
- · child health and safety;
- recreation, sports, arts, and crafts methods or theory;
- early childhood special education methods or theory; or
- child abuse and neglect prevention.

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Ongoing training hours required in specific content areas for	Yes
teaching staff	

Content of ongoing training hours:

One-half of the in service training completed by a staff person each year of employment must pertain to the age of children for which the person is providing care. No more than 4 hours of the annual in service training requirement for a teacher, assistant teacher, or aide may relate to administration, finances, and record.

The remainder of the in service training requirement must be met by participation in early childhood development training, including

- child development;
- children with special needs;
- early childhood education methods or theory;
- curriculum planning;
- child study techniques;
- · family studies;
- parent involvement;
- behavior guidance;
- child nutrition;
- child health and safety;
- recreation, sports, arts, and crafts methods or theory;
- early childhood special education methods or theory; or
- child abuse and neglect prevention.

Types of training accepted/approved for ongoing training	No
hours are specified	
Job orientation	
College credit hours	
Non-credit coursework	
Training provided by organizations approved by state training approval system	
Training provided by organizations licensed or certified professionally competent in the training topic	
Other: N/A	
Delivery methods accepted/approved for ongoing training	No
hours are specified	
Adult education courses	
Training provided by an approved or accredited secondary institution/vocational school	
Training provided by an approved or accredited institution of higher education	
Audio-visual materials/videos/reading materials	
Conference workshops	
Community-based workshops	
Distance learning methods (Internet, video conferences, etc.)	
In-service training delivered by center director	
Other: N/A	

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CHILD-STAFF RATIOS AND GROUP SIZE

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CHILD-STAFF RATIOS AND GROUP	SIZE BY AGE OF CHILDREN	<u> </u>
		<u> </u>
Age of Children	Child-Staff Ratio	Group Size
6 weeks	4:1	8
9 months	4:1	8
18 months	7:1	14
27 months	7:1	14
3 years	10:1	20
4 years	10:1	20
5 years	10:1	20
6 years	15:1	30
7 years	15:1	30
8–9 years	15:1	30
10 years and older	15:1	30
Additional requirements: N/A		

MIXED-AGE GROUPS

State permits mixed-age groupings of children

Yes

Child-staff ratio requirements for mixed-age groups: During the center's regular hours of operation, children in different age categories may be mixed within a group if the staff to child ratios, group size, and staff distribution applied are for the youngest child present.

Group size requirements for mixed-age groups: During the center's regular hours of operation, children in different age categories may be mixed within a group if the staff to child ratios, group size, and staff distribution applied are for the youngest child present.

Additional requirements: During the center's regular hours of operation, children in different age categories may be mixed within a group if:

- (1) infants are not grouped with children of other age categories;
- (2) there is no more than a 36-month range in age among children in a group;

This may be waived if all children in the group are school age.

ADDITIONAL REQUIREMENTS	<u> </u>
State has a definition for "group"	No
Text of definition: N/A	
State requires that each group of children has a designated space—	
either a separate room or delineated space in a large room	
State requirements on legally exceeding limits	

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Centers allowed legally to exceed licensed capacity	
Conditions: N/A	
Centers allowed legally to exceed required child-staff ratios	
Conditions: N/A	
Centers allowed legally to exceed required group size	✓
Conditions: The maximum group size applies at all times except during activities, field trips, naps and rest, and special activities such as films, go believe are groups.	
holiday programs.	
State has requirements for the supervision of children based on	No
31 6	No
State has requirements for the supervision of children based on	No
State has requirements for the supervision of children based on the size of the center	No
State has requirements for the supervision of children based on the size of the center State has requirements for child-staff ratios based on the size of the	No

SUPERVISION OF CHILDREN

	<u> </u>
GENERAL SUPERVISION REQUIREMENTS	
State has requirements for staff supervising children	Yes
Staff must be free of other duties	
Staff must be alert/awake	
Staff must be able to see children at all times	
Staff must be able to hear children at all times	
State has requirements related to supervision of children during emergencies	
State has requirements related to supervision and the use of substitutes for staff	
Centers are allowed to use video surveillance cameras or electronic monitors in lieu of direct supervision	
State has requirements related to the use of video surveillance	
cameras for parents to view their children while at the center	
Additional requirements: Children must have supervision at all times	

SUPERVISION OF CHILDREN DURING NAPTIME	<u>↑</u>
State has requirements for the supervision of children during naptime	Yes
Staff person must be present in room where children are napping	
Staff must be able to see children	
Staff must be able to hear children	
State has requirements for the supervision of children during naptime that are delineated by the age of the child	

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Infant and toddler	
Preschool	
School-age	
Staff person supervising during naptime must be free of other duties	
Center must have written supervision plan for naptime	
State has specific child-staff ratio requirements for naptime No	
The second secon	140
Requirements: N/A	140
·	Yes
Requirements: N/A	Yes

SUPERVISION OF CHILDREN DURING EVENING/OVERNIGHT CAR (see also Specialized Care – Evening/Overnight Care)	RE
State has requirements for the supervision of children during evening/overnight care	Yes
Staff person must be present in room where children are sleeping	
Staff must be able to see sleeping children	
Staff must be able to hear sleeping children	
Staff person supervising sleeping children must be free of other duties	
Staff must be awake	Awake at all times
Center must have written supervision plan for evening/overnight care	
State has specific child-staff ratio requirements for evening/overnight care	Yes
Requirements: At least 2 staff persons, one of whom must qualify as a teacher, must be present in the center at all times during the hours the night program is in operation. When more than 80 percent of the children present are asleep, the remaining staff persons needed to meet the required staff to child ratio must have at least the qualifications of a child care aide.	
State has specific group size requirements for	No
evening/overnight care	
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN DURING OUTDOOR PLAY	1
State has requirements for the supervision of children during outdoor play	Yes
Staff person must be present outdoors with children	
Staff must be able to see children playing outdoors	
Staff must be able to hear children playing outdoors	
Center must have written supervision plan for outdoor play	

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State has specific child-staff ratio requirements for outdoor	No
play	
Requirements: N/A	
State has specific group size requirements for outdoor play	Yes
Requirements: The maximum group size applies at all times except duri	ng outdoor
activities.	
Additional requirements: N/A	

SUPERVISION OF CHILDREN DURING LARGE GROUP ACTIVITIES	1
State has requirements for the supervision of children during	Yes
large group activities	
Staff person must be present with children during large group activities	
Staff must be able to see children during large group activities	
Staff must be able to hear children during large group activities	
Center must have written supervision plan for large group activities	
State has specific child-staff ratio requirements for large group activities	No
Requirements: N/A	
State has specific group size requirements for large group	Yes
activities	
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN SWIMMING/PARTICIPATING IN WATER ACTIVITIES	
State has requirements for the supervision of children when	No
swimming or participating in water activities	
Staff person must be present with children when swimming or	
participating in water activities	
Staff must be able to see children	
Staff must be able to hear children	
Written permission from parents required for swimming and water	
activities	
Center must have written supervision plan for swimming and water	
activities	
State has specific child-staff ratio requirements for swimming	No
and water activities	
Requirements: N/A	
State has specific group size requirements for swimming and	No
water activities	
Requirements: N/A	
Additional requirements: N/A	

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SUPERVISION OF CHILDREN TRANSPORTED IN VEHICLES (see also Facility Requirements - Transportation) State has requirements for the supervision of children when Yes transported in a vehicle Children must not be left unattended in a vehicle Staff must supervise children when they board and exit a vehicle Attendance record of children must be kept Once vehicle is unloaded, additional checks for children remaining on board are conducted Staff or driver must ensure that children are received by a parent or other designated person Driver is counted in child-staff ratio Written permission from parents required for transporting children Emergency contact information for children taken on vehicle Center must have written supervision plan for transporting children in State has specific child-staff ratio requirements for Yes transporting children in a vehicle

Requirements:

- A. Staff ratios must be maintained on all transportation provided on all field trips.
- B. When children are driven in a private car or van, a second adult must be present when more than four children under the age of five are being transported.
- C. When the license holder provides transportation to and from the center, a second adult must be present in the vehicle and children must not be transported more than one hour per one-way trip. A two-way communication system can be used in lieu of a second adult when 10 or fewer children are being transported.

State has specific group size requirements for transporting	No
children in a vehicle	
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN DURING FIELD TRIPS	
State has requirements for the supervision of children during field trips	Yes
Attendance record kept of children on field trip	
Additional staff/adults (volunteers, parents, driver) needed for field trips under certain conditions	
Written permission from parents required for field trips	✓
Emergency contact information for children taken on field trip	✓
Children are required to wear name tags or other identification	
Center must have written supervision plan for field trips	

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State has specific child-staff ratio requirements for field trips	Yes
Requirements: Staff ratios must be maintained on all transportation provided on all field	
trips. State has specific group size requirements for field trips Yes	
State has specific group size requirements for field trips	Yes
Requirements: The maximum group size applies at all times except duri	

CARE OF CHILDREN

<u> 1</u>

AGE DEFINITIONS

Definition of "infant"

Text of definition: "Infant" is defined as a child who is at least 6 weeks old but less than 16 months old.

Definition of "toddler"

Text of definition: "Toddler" is defined as a child at least 16 months old but less than 33 months old.

Definition of "preschool-age"

Text of definition: "Preschooler" is defined as a child who is at least 33 months old but who has not yet attended the first day of kindergarten.

Definition of "school-age"

Text of definition: "School age child" is defined as a child who has at least attended the first day of kindergarten but is less than 13 years old.

CHILDREN'S HEALTH REQUIREMENTS	→
State requires children to have a physical exam to enroll in a center	Yes
Center must keep records of children's physical exams	✓
Health records must be provided to the center within a specific time frame after a child's enrollment	√
State requires children to have immunizations to enroll in a	Yes
center	
Immunization records must be provided to the center within a specific time frame after a child's enrollment	
Parents/guardians can provide a written statement that they do not wish to have children immunized	√
Health care professional can provide a written statement that children are exempt from immunization requirements for medical reasons	√
Center can exclude children from attendance until immunization	
records or exemption statements are provided	
Center can accept children on a conditional basis if not all immunizations are complete	

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Center can refuse to enroll children of parents who do not wish to have	
their children immunized	
Additional requirements: N/A	
Children are required to have a blood lead level screening to enroll in a	
center	
Center must keep emergency contact information in children's records	✓
Additional requirements: N/A	

NUTRITION	<u> </u>
State has requirements about nutrition and meals/snacks for children	Yes
State has requirements about the nutritional content of meals/snacks served to children	√
State has requirements about the number or time interval between the delivery of meals/snacks served to children	√
Center must post a menu of meals/snacks served to children	
Center must provide all meals/snacks for children	
Parents/guardians can provide food for their own children on a regular basis	√
Parents/guardians can bring food for all children for special occasions	
Center must provide supplemental food (to what parents provide) to meet nutritional content requirements	
State has requirements about feeding infants	✓
Additional requirements: N/A	

BEHAVIOR GUIDANCE AND DISCIPLINE	1
State has requirements regarding behavior guidance and/or disciplining children	Yes
Specific forms of discipline/behavior guidance that centers ARE ALLOWED to use are in regulations	Yes
Supervised separation from the group	✓
Physical/corporal punishment (Note: ✓ = state allows or it is not prohibited/addressed in regulations)	

Other forms of discipline allowed:

- A. Ensure that each child is provided with a positive model of acceptable behavior;
- B. Be tailored to the developmental level of the children the center is licensed to serve;
- C. Redirect children and groups away from problems toward constructive activity in order to reduce conflict;
- D. Teach children how to use acceptable alternatives to problem behavior in order to reduce conflict;
- E. Protect the safety of children and staff persons; and
- F. Provide immediate and directly related consequences for a child's unacceptable behavior.

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Specific forms of discipline/behavior guidance that centers ARE NOT ALLOWED to use are in regulations	Yes
Denial of food/food-related punishment	✓
Derogatory remarks	✓
Abusive language	✓
Yelling or shouting/screaming	
Physical punishment	✓
Forced napping/no nap/rest-related	
Physical/mechanical restraint	✓
Locked time-out room, closet, or dark room	
Punishment for toileting accident/toileting-related punishment	✓
Putting something in child's mouth	
Discipline/punishment by another child	
Other forms of discipline not allowed: N/A	
Center must have written policies on discipline and behavior guidance practices	√

PROGRAM AND ACTIVITIES	^
Center must have a written daily schedule of activities	No
Center must post daily schedule	
Center must provide specific activities for children	Yes
Regular meal/snack times	
Nap or rest period	
Toileting and washing/hand washing	
Outdoor play	✓
Indoor play	✓
Child-initiated activities	✓
Staff-initiated activities	✓
Individual activities	
Group activities	
Large group activities	
Small group activities	
Active play	✓
Quiet play	✓
Free play	
Creative expression	✓
Gross motor activities	✓
Fine motor activities	✓
Other activities: N/A	

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Developmental needs of children must be addressed in activities		Yes
Area of Children's Development	Must Be Addressed in Activities	Regulations List Specific Activities
Social development	✓	
Emotional development	✓	
Cognitive/intellectual development	✓	
Physical development	✓	
Language/literacy development		
Cultural development	✓	
Other areas of development: N/A		
State has requirements for the specific types equipment/materials a center must have for		Yes
Books and other literacy materials		✓
Number of books required		
Additional requirements about books: Center must	have 2 books per chi	ld.
Art supplies/creative activities		✓
Blocks/construction materials		✓
Sensory materials		✓
Fine motor manipulatives		✓
Gross motor equipment (indoor and/or outdoor)		✓
Dramatic play/pretend		✓
Science/experimental/math (counting/sorting)		✓
Technology/computers		
Music		✓

Other types of equipment required: Program equipment and materials:

- 1) Arts and crafts supplies, such as clay or play-dough, tempera or finger paints, white or colored paper, paste, collage materials, paint brushes, washable felt type markers, crayons, scissors, and smocks;
- 2) Two books per child;
- 3) 48 large building blocks per group;
- 4) 200 small building blocks per group;
- 5) Five pieces of dramatic play equipment or sets of Montessori Practical Life equipment per group;
- 6) Materials and accessories required for dramatic play equipment to carry out the theme of the activity;
- 7) One double easel per group;
- 8) Three pieces of durable, indoor, large muscle equipment per group;
- 9) Three pieces of durable, outdoor, large muscle equipment per group;
- 10) One mirror, at least 12 inches by 36 inches, made of Plexiglas or a similar plastic or safety glass, per group;
- 11) One music source such as a tape recorder or record player per group and music selections appropriate for the source;
- 12) One set of cognitive developmental equipment and materials, such as puzzles and

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number and letter games, per child;

- 13) Two sets of manipulative equipment, such as interlocking plastic forms, per child;
- 14) Pictures at child's eye level, mobiles, and other items as needed to create a pleasant environment and provide sensory stimulation; and
- 15) One rhythm instrument per child.

Additional requirements: Reported is equipment for preschoolers. State also has requirements for infants, toddlers, and school-age children.

PARENT INVOLVEMENT	
State has requirements regarding parent involvement	No No
State has requirements regarding parent involvement	NO
Center must encourage parent involvement	
Center must provide opportunities for parent involvement	
Additional requirements: N/A	
State has requirements about parents' access to the center	Yes
Center must provide access to parents at all times; no prior notice needed	✓
Parents can have unsupervised access to all children or the whole facility	
Parents can have unsupervised access only to their own children	
Parents can have supervised access to all children	
State has requirements about the center's communication with	Yes
parents	
Center must provide written copies of policies and procedures to parents	√
Center must keep logs of children's care and communicate with parents	✓
Center must hold regularly scheduled meetings with parents	✓
Center must have a resource area for parents	
Additional requirements: Daily written reports are made to the parent of about the child's food intake, elimination, sleeping patterns, and general	

SPECIALIZED CARE – INFANT AND TODDLER	<u> </u>
State has requirements that specifically address the care of infants and/or toddlers	Yes
State has requirements on the supervision of infants and/or toddlers	
Each infant must have a primary caregiver	
State has requirements for staff working with infants and/or toddlers	✓
Staff requirements: A registered nurse or licensed practical nurse is qualified as a teache for infants only. A registered nurse or licensed practical nurse is qualified as an assistant teacher for infants only.	
State has requirements on program/activities specifically for infants and/or toddlers	
State has requirements on equipment/materials specifically for infants	✓

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and/or toddlers	
State has requirements for the facility related to the care of infants and/or toddlers	
State has requirements on reducing the risk of Sudden Infant De (SIDS)	ath Syndrome
Infants must be placed on their backs to sleep	
Physicians can authorize a different sleep position for infants	
Parents can authorize a different sleep position for infants	
Soft bedding/materials must not be used in cribs	
Additional requirements on SIDS prevention: N/A	

SPECIALIZED CARE – SCHOOL-AGE	^
State has requirements that specifically address the care of school-age children	Yes
State has requirements on the supervision of school-age children	
State has requirements for staff working with school-age children	
Staff requirements: N/A	
State has requirements on program/activities specifically for schoolage children	√
State has requirements on equipment/materials specifically for schoolage children	√
State has requirements for the facility related to the care of schoolage children	

SPECIALIZED CARE - MILDLY ILL CHILDREN (see also Care of Mildly III Children)		
State has requirements that specifically address the care of children who are mildly ill	Yes	
State has requirements on the supervision of mildly ill children	✓	
State has requirements on program/activities specifically for mildly ill children		
State has requirements on equipment/materials specifically for mildly ill children	√	
State has requirements for the facility related to the care of mildly ill children	√	

SPECIALIZED CARE – EVENING/OVERNIGHT CARE (see also Supervision of Children During Evening/Overnight Care)	1
State has requirements that specifically address the care of	Yes
children during evening/overnight hours	
State has requirements on program/activities specifically for the care	✓
of children during evening/overnight hours	
State has requirements on equipment/materials specifically for the	✓

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care of children during evening/overnight hours	
State has requirements for the facility related to the care of children	✓
during evening/overnight hours	

SPECIALIZED CARE – DROP-IN CARE	^
State has requirements that specifically address the care of children in drop-in programs	Yes
State has requirements on the supervision of children in drop-in	✓
programs	
State has requirements on program/activities specifically for children	✓
in drop-in programs	
State has requirements for the facility related to the care of children in	
drop-in programs	
Additional requirements on drop-in care: State has a separate section of	f regulations for
drop-in programs.	

FACILITY REQUIRMENTS

	<u> </u>
ENVIRONMENT	•
State has requirements about environmental tests of the facility	No
Requires lead tests	
Requires asbestos tests	
Requires radon tests	
State has requirements about environmental inspections	Yes
Requires fire inspections	Required prior to licensure and annually
Requires health inspections	
Requires building code inspections	
Additional requirements: The commissioner must not grant an initial license until written verification of compliance with the state building code or local building ordinance, when applicable, has been received by the commissioner from the building official with jurisdiction.	

SQUARE FOOTAGE	<u> </u>
Number of square feet of indoor space per child	35 square feet
Total amount of square feet for area	N/A
Additional requirements: N/A	

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Number of square feet of outdoor space per child	75 square feet
Total amount of square feet for area	1,500 square feet
Additional requirements: N/A	
Number of square feet per child, based on age of child	
Per infant	N/A
Per toddler	N/A
Per preschool-age child	N/A
Per school-age child	N/A
Additional requirements: N/A	

CONDITION OF FACILITY AND EQUIPMENT	<u> </u>
State has requirements on the condition of the indoor area of the building/facility	✓
State has requirements on the condition of the outdoor area of the building/facility	✓
State has requirements on the condition of indoor equipment	✓
State has requirements for child care centers on the condition of outdoor equipment	√
State has requirements for child care centers on the condition of materials and toys for children	~

SAFETY OF FACILITY AND EQUIPMENT	<u> </u>
State has requirements on the safety of indoor equipment	✓
State has requirements on the safety of outdoor equipment	✓
State has requirements on the surfaces under indoor equipment	
State has requirements on the surfaces under outdoor equipment	
Details on state requirements on surfaces under equipment: N/A	
State has requirements on sleeping equipment	✓
State requires equipment to be free of components that can pinch, sheer, or crush body tissues	✓

OUTDOOR SPACE	1
State requires outdoor space is enclosed/has a fence	No
Required height for fence: N/A	
State has requirements on swimming pools	✓
State has requirements to protect children from bodies of water (ponds, lakes, rivers, etc.)	~
State has requirements about alternatives to outdoor space	

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FIRE SAFETY	1
State has requirements on fire safety in child care centers	Yes
Centers are required to have an evacuation plan for fires	✓
Centers must conduct fire drills	✓
Time interval for fire drills: Monthly	
Additional requirements: N/A	

GENERAL EMERGENCY PLANS	<u>^</u>
Center must have a general emergency plan for all	No
emergencies	
Center must have a general evacuation plan for all emergencies	
State requires centers to conduct drills for all emergencies	
Time interval between drills: N/A	

EMERGENCY PREPAREDNESS	<u>^</u>
State has requirements on emergency preparedness	Yes
Centers must have emergency plans/procedures for natural disasters (tornados, hurricanes, earthquakes, other weather conditions)	√
Centers must have emergency plans/procedures for utility-related problems (blackouts, etc.)	
Centers must have emergency plans/procedures for acts of terrorism	
Centers must perform drills of their emergency preparedness procedures (other than fire)	√
Additional requirements: N/A	

SECURITY	<u> </u>
Centers must have a procedure for accepting children when they enter the center and the release of children when they leave	
Centers must have a procedure for signing children in and out when they enter and exit the facility	
Centers must keep daily attendance records of children	
Centers must have policies on the monitoring of visitors	
Centers must have security/surveillance cameras	
Additional requirements: N/A	

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TRANSPORTATION (see also <u>Supervision of Children Transported in Vehicles</u>)	1
State has requirements on transporting children in vehicles	Yes
State has requirements about the condition of the vehicle	
State has requirements about emergency equipment needed in the vehicle	
States has requirements about seating in the vehicle	
State has requirements about vehicle safety restraints for children	✓
State has requirements about seat belts for children	
State has requirements about car seats/child restraint systems	✓
State has requirements about the driver of the vehicle	✓
First aid kit must be kept in the vehicle	

Additional requirements: When children are transported, they must be restrained in accordance with Minnesota Statutes, and a child under the age of 4 may be transported only if the child is properly fastened in a child passenger restraint system that meets the Federal motor vehicle safety standards contained in Code of Federal Regulations.

LIABILITY INSURANCE	1
State requires centers to have liability insurance	No
General liability insurance required	No
Amount of insurance required: N/A	
Professional liability insurance required	No
Amount of insurance required: N/A	
Automobile insurance required	No
Amount of insurance required: N/A	
Medical payment insurance required	No
Amount of insurance required: N/A	
Other types of insurance required: N/A	
Additional requirements: N/A	

ADMINISTRATION OF MEDICATION	<u> </u>
State has requirements on the administration of medication to children	Yes
Centers must obtain permission from parent/guardian to administer medication to a child	✓
Center must obtain written instructions from the parent/guardian or physician on how to administer the medication (Note: "Yes" response can include the instructions on a prescription or medicine container)	√
Center must maintain records of medications administered to children	✓
Additional requirements: N/A	

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MEDICAL PROCEDURES	1
State has requirements on performing medical procedures on children	No
Centers must obtain permission from parent/guardian to perform a medical procedure on a child	
Centers must obtain written instructions from the parent/guardian or physician on how to perform the medical procedure	
Center must maintain records of medical procedures performed on children	
Additional requirements: N/A	

CARE OF MILDLY ILL CHILDREN (see also Specialized Care – Mildly III Children)	
State has requirements on the care of mildly ill children	Yes
Centers can admit a child who is mildly ill	
Centers can exclude a child who is mildly ill	✓
Additional requirements: A child with any of the following conditions or behaviors is a sick child and must be excluded from a center not licensed to operate a sick care program.	

INCIDENT REPORTING	
Centers must report to the licensing agency all serious injuries that	<u>T</u>
occur to children in the program	
Centers must keep a record of all serious injuries that occur to children	✓
in the program	
Centers must report to the licensing agency all deaths that occur to	✓
children in the program	
Additional requirements: The license holder must inform the commissioner within 24 hours	
of any injury to a child in care in the center that required treatment by a physician. A written record of accidents, injuries, emergencies, and incidents must be maintained in the	

ADDITIONAL HEALTH REQUIREMENTS	Δ
Centers must have a health consultant	✓
Centers must have a first aid kit	✓
Requirements specify items that must be in the first aid kit	✓
Additional requirements: N/A	•

center's administrative record.

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HAND WASHING	<u> </u>
State has requirements on hand washing for staff	Yes
State has requirements for centers that specify when staff must wash	✓
their hands	
Center staff must wash their hands after toileting	√
Center staff must wash their hands after diapering a child	✓
Center staff must wash their hands after toileting a child	
Center staff must wash their hands before and/or after preparing, serving, or eating food	✓
Center staff must wash their hands after attending to an ill child	
Center staff must wash their hands after handling, feeding, or cleaning up after animals	
Other times staff must wash their hands: N/A	
State has requirements on the location and/or amount of hand washing facilities for staff	
State has requirements on hand washing for children	Yes
State has requirements for centers that specify when children must wash their hands	✓
Children must wash their hands after toileting	✓
Children must wash their hands after diapering	✓
Children must wash their hands before and/or after eating food	✓
Children must wash their hands after handling animals	
Other times children must wash their hands: N/A	
State has requirements on the location and/or amount of hand washing facilities for children	✓
Additional requirements: N/A	

DIAPERING	^
State has requirements on diapering	Yes
State requirements specify when a staff person must change a child's diaper	
Staff person changing diaper must wear gloves	
State has requirements on discarding soiled diapers	✓
Diaper changing table and area must be sanitized after each use	
A sink must be available exclusively for the diapering area	
Diapering sink must not be used for food preparation	
Requirements on proximity of sink to diapering area: The area must have a hand sink equipped with hot and cold running water within three feet of the diaper changing surface. Additional requirements: N/A	

Key:	
✓ = Yes	NARA = National Association for Regulatory Administration
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	Center
N/A = Not Applicable/Not	NRC = National Resource Center for Health and Safety in Child
Addressed	Care and Early Education

SMOKING POLICIES	^
State has requirements about smoking in centers	No
Smoking is not allowed in a child care center	
Smoking is not allowed on the grounds of a child care center	
Smoking is not allowed in the presence of children	
Smoking is not allowed in areas used for the care of children	
Smoking is not allowed in areas where food is prepared	
Smoking is not allowed in a vehicle while transporting children	
Smoking is allowed in a designated area	
Additional requirements: N/A	

HAZARDOUS MATERIALS	1
State has requirements about the accessibility of hazardous supplies/materials	Yes
Hazardous supplies/materials must be kept out of the reach of children	✓
Additional requirements: N/A	

FIREARMS	^
State has requirements about the presence of firearms in the facility	No
Firearms are not allowed in child care centers	
Firearms are allowed in child care centers, but must be in a locked container or closet	
Firearms are allowed in child care centers, but ammunition must be separate from the firearm	
Center must notify parents of the presence of firearms in the facility	
Additional requirements: N/A	•

ANIMALS IN FACILITY	1
State has requirements about animals in the facility	No
Animals are allowed in child care centers	
Certain animals are prohibited in child care centers	
State has requirements about the care and health of animals in child	
care centers	
Centers must notify parents of the presence of animals	
Additional requirements: N/A	

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