#### **Data Profile for State Licensing Programs and Policies**

#### Click on link to go to information on the state's licensing program:

- **State Licensing Agency**
- **Number of Licensed Facilities**
- Licensing Staff
- Number of Licensing Staff
- Types of Inspections
- Frequency of Inspections
- **Frequency of Licensing**

- Inspections and Monitoring
- **Complaint Investigations**
- Enforcement Actions
- Access to Licensing Information
- Licensing Fees
- Licensing Staff Requirements
- Quality Initiatives

### STATE LICENSING AGENCY

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LICENSING AGENCY CONTACT INFORMATION				
Agency name: Arizona Department of Heal	Agency name: Arizona Department of Health Services			
Address: Office of Child Care Licensing				
150 North 18 <sup>th</sup> Avenue, Suite 400				
City: Phoenix	State: AZ	<b>Zip:</b> 85007		
<b>Phone</b> : 602-364-2539	<b>Toll Free:</b> 800-615-8555			
Fax: 602-364-4768	E-mail:			
Web site: http://www.azdhs.gov/als/childcare/index.htm				

#### NUMBER OF LICENSED FACILITIES

NUMBER OF LICENSED FACILITIES		
Child care centers	2,182	
Family child care homes	15	
Large/group family child care homes	356	
Other types of licensed facilities:	0	
Total number of licensed facilities	2,553	
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Data notes: Small family child care homes are programs that care for four or fewer children. Licensing for these homes is optional.

Key:	
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By NARA and NCCIC, 2006

LICENSED CAPACITY	
Child care centers	221,589
Family child care homes	73
Large/group family child care homes	4,726
Other types of licensed facilities:	0
Total licensed capacity	226,388
Data notes:	

### LICENSING STAFF

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LICENSING LINE STAFF ASSIGNMENT	
Line staff assignments to inspect child care facilities:	
Assigned to inspect only child care centers	
Assigned to inspect only family child care homes	
Assigned to inspect both centers and family child care homes	✓
Assigned to inspect child care facilities and other human service programs for children	
Assigned to inspect child care facilities and other human service programs for children and/or adults	
Assignments vary by county or area of the state	
Assigned specifically to conduct complaint investigations	
Other type of assignment:	
Data notes:	-

### NUMBER OF LICENSING STAFF



NUMBER OF LINE STAFF	
Total number of full-time equivalent line staff	33
Data notes:	

LINE STAFF ESTIMATED CASELOAD		
Estimated caseload ratio (number of facilities per staff)	77:1	
Data notes: The caseload was calculated by NARA/NCCIC by dividing the total number		
of licensed programs by the total number of licensing line staff.		

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NUMBER OF SUPERVISORS	
Total number of full-time equivalent licensing	5
supervisors	
Data notes:	

### TYPES OF INSPECTIONS

			<u> </u>
Type of Inspection Conducted	Child Care Centers	Family Child Care Homes	Large/Group Family Child Care Homes
Prior to issuing a license	✓	✓	✓
Announced	✓	✓	✓
Unannounced			
Data notes:			
License renewal	✓	✓	✓
Announced			
Unannounced	✓	✓	✓
Data notes:	Data notes:		
Routine compliance	✓	✓	✓
Announced			
Unannounced	✓	✓	✓
Data notes:			

### FREQUENCY OF INSPECTIONS

	<u> </u>
FREQUENCY OF REQUIRED INSPECTION VISITS	
Type of Facility	Frequency of Inspection Visits
Child care centers	Once a year
Family child care homes	Twice a year
Large/group family child care homes	Twice a year
Other frequency of inspection:	
Data notes:	

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### FREQUENCY OF LICENSING

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LENGTH OF LICENSE	
Type of Facility	Length of License
Child care centers	Three years
Family child care homes	Three years
Large/group family child care homes	Three years
Other length of license:	
Data notes:	

### INSPECTIONS AND MONITORING

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FULL COMPLIANCE REVIEW	
Type of Facility	Frequency of Full Compliance Review
Child care centers	Once every three
	years
Family child care homes	Once every three
	years
Large/group family child care homes	Once every three
	years
Data notes:	

ABBREVIATED COMPLIANCE FORM (Note: An abbreviated compliance form is a tool used during inspections that has an abbreviated list of the requirements on it, not all requirements. Sometimes called an "indicator checklist.")			
Abbreviated compliance form used for inspections			
Child care centers Yes			
Use of form described: Annual, other than new and renewal.			
Family child care homes Yes			
Use of form described: Annual, semi-annual, other than new and	renewal.		
Large/group family child care homes Yes			
Use of form described: Annual, semi-annual, other than new and renewal.			
Data notes:			

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State has policies on when to switch from abbreviated compliance review to a full compliance review			
Child care centers Yes			
Family child care homes Yes			
Large/group family child care homes Yes			
Data notes:			

<b>DIFFERENTIAL MONITORING</b> (Note: Differential monitoring is the frequency and/or depth of monitoring inspections that is based on an assessment of the level of compliance with regulations. May also be called "risk assessment monitoring.")			
System of differential monitoring based on compliance reco	ords used for		
inspections			
Child care centers No			
System of assessing compliance and differential monitoring:			
Family child care homes No			
System of assessing compliance and differential monitoring:			
Large/group family child care homes No			
System of assessing compliance and differential monitoring:			
Data notes:			

TECLINICAL ACCUSTANCE AND CO	NICLUI TATLONI			
TECHNICAL ASSISTANCE AND CONSULTATION				
	Technical assistance/consultation provided to help licensees achieve			
compliance with licensing regulated Child care centers	ions		l	Yes
Family child care homes				Yes
Large/group family child care homes				Yes
Technical assistance/consultation	n provided to he	Ip license	es mo	ve beyond
minimum licensing regulations			I	
Child care centers				No
Family child care homes				No
Large/group family child care homes No			No	
Data notes:				
Means of providing technical assistance/consultation	Child Care Centers	Family Care Ho		Large/Group Family Child Care Homes
During all inspection visits	✓	✓		✓
During application visits				
During routine inspection visits				
During renewal inspection visits				
On the telephone	<b>✓</b>	✓		✓
As needed by licensee	✓	✓		✓
Other:				

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Data notes:		
Referrals made to other agencies/organizations/individuals for technical		
assistance		
Child care centers		
Family child care homes		
Large/group family child care homes		
Agencies, organizations, or individuals used for technical assistar	nce referrals:	

DATA AUTOMATION			
Basic licensing data is automated	Yes		
Data notes:			
Portable computers and inspection software used for inspection	ections		
Child care centers	No		
Family child care homes	No		
Large/group family child care homes	No		
Data notes:			
Other tools used for inspections and monitoring: Measuring tape, thermometers, cameras, and tape recorders.	Yes		

### **COMPLAINT INVESTIGATIONS**

	<u> </u>
INVESTIGATIONS	
Licensing agency conducts complaint investigation	s
Child care centers	Yes
Family child care homes	Yes
Large/group family child care homes	Yes
Data notes:	
State uses same staff who conduct inspections for compliance to conduct complaint investigations	initial licensure and routine
Child care centers	Yes
Family child care homes	Yes
Large/group family child care homes	Yes
State has separate staff who only work on complai	nt investigations
Child care centers	No
Family child care homes	No
Large/group family child care homes	No

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State uses other complaint investigation staff			
Child care centers	No		
Family child care homes	No		
Large/group family child care homes	No		
Other complaint investigation staff described:			
Data notes:			
State investigates anonymous complaints			
Child care centers	Yes		
Family child care homes	Yes		
Large/group family child care homes	Yes		
Data notes:			

NUMBER OF COMPLAINTS				
Number of Licensing Complaints	Child Care Centers	Family Child Care Homes	Large/Group Family Child Care Homes	Total
Number of licensing complaints filed	921	124	95	1,140
Number of substantiated licensing complaints	407	2	47	456

**Data notes:** Number of licensing complaints filed: Small FCC Homes 124 (includes 123 unlicensed homes + 1 licensed home)

Nature of licensing complaints: Discipline and guidance, staff-child ratios, unlicensed activities, and cleaning and sanitation practices.

CHILD ABUSE AND NEGLECT		
Agencies that investigate child abuse and neglect complaints against child care		
centers and family child care homes		
Licensing agency	✓	
Protective services		
Specialized unit for child abuse and neglect		
Other agency:		
Data and to		

#### Data notes:

Number of child abuse and neglect complaints	Child Care Centers	Family Child Care Homes	Large/Group Family Child Care Homes	Total
Number of child abuse and neglect complaints filed	13	0	1	14
Number of substantiated child abuse and neglect complaints	5	0	0	5
Data notes:	•			

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#### **ENFORCEMENT ACTIONS**

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USE OF ENFORCEMENT ACTIONS						
Enforcement Actions		Care ters		y Child Homes	Family	'Group y Child Homes
	Used	Num.	Used	Num.	Used	Num.
Revocation of license	✓	0	✓	2	✓	0
Denial of license	✓	10	✓	0	✓	0
Immediate closure of facility	✓	2	✓	0	✓	0
Non-renewal of license	✓	0	✓	0	✓	0
Probation	✓	0	✓	0	✓	0
Conditional license	✓	3	✓	0	✓	0
Consent agreement	✓	1	✓	0	✓	0
Civil fine	✓	54	✓	18	✓	0
Criminal fine	✓	0	✓	0	✓	0
Imprisonment						
Other enforcement actions: Suspension of part of a program, such as transportation.						

#### Data notes:

Legal representation for licensing agency: Numbers for revocation of license and civil fines for small family child care homes include both large/group and small family child care homes.

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### **ACCESS TO LICENSING INFORMATION**

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LICENSING INFORMATION ON THE INTERNET	
Licensing inspection reports are available on the Internet for parent and public access	No, but state is planning to put inspection reports on the Internet
Data notes:	
Complaints against facilities are included in licensing reports on the Internet	N/A
Data notes:	

### LICENSING FEES



LICENSING FEES CHARGED				
Fees are charged for a license				
Child care centers				Yes
Family child care homes				Yes
Large/group family child care homes				Yes
Data notes:				
Type of Licensing Fee	Child Care Centers	Family Care Ho		Large/Group Family Child Care Homes
Flat fee for all child care facilities	✓	✓		✓
Fee based on maximum number of children in facility				
Other type of fee:				
Data notes:				
Amount of Licensing Fee	Child Care Centers	Family Care Ho		Large/Group Family Child Care Homes
Flat fee	\$150	\$30	)	\$30
Fee based on maximum number of children in facility				
Other type of fee:				
Data notes:				

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#### LICENSING STAFF REQUIREMENTS

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LICENSING STAFF POSITION	
Name of licensing line staff position	State Licensing
	Surveyor

STAFF QUALIFICATIONS		
Minimum entry-level qualifications for child care licensing	line staff	
Master's degree		
Bachelor's degree		
Associate's degree		
College-level certification program or credential		
Some college education		
Certification program or credential (not college-level)		
High school diploma or GED		
Experience working with children in the early care and education field	✓	
No required educational qualifications	✓	
Other qualifications:		
<b>Data notes:</b> Although there are no required educational qualifications, no surveyors are hired without a college degree in childhood development or closely related field.		
Content and experience requirements		
Degrees and/or courses must be in early childhood education, child development, or a related field	No	
Data notes:		
Content areas or majors accepted:		
Experience working in a setting with children required prior to working as a licensor	Yes	
Data notes:		

STAFF TRAINING		
Annual training requirements		
Additional training required each year	Yes	
Data notes:		
Amount of required training: 12 hours.		
Topics covered in training		
Regulatory issues		
State's regulations		
Health and safety issues		
Identifying child abuse and neglect		

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State's licensing policies and procedures		
Fire safety		
Early childhood education/child development		
Adult development		
Business administration/management		
Supervision		
Other training topics: Investigative techniques, interviewing (child and adult) techniques, principles of documentation, and technical assistance.	✓	
Data notes:		
Sources of training for child care licensing line staff		
Licensing agency	✓	
Community-based organization		
Local/state conferences	✓	
National conferences	✓	
Outside consultants	✓	
College/university		
No sources of training		
Do not know		
Other training sources:		
Data notes:		

FUNDING TO SUPPORT LICENSING STAFF		
Sources of funding to hire and support child care licensing staff		
General state funds	✓	
Child Care and Development Fund (CCDF)		
Temporary Assistance for Needy Families (TANF)		
Social Services Block Grant (SSBG)		
Other federal funds:		
Private funds		
Licensing fees		
Do not know		
Other funding sources:		
Data notes:		

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### **QUALITY INITIATIVES**

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LICENSING AGENCY'S INVOLVEMENT IN QUALITY IMPROV	/EMENT INITIATIVES
Tiered quality strategy	
Lead agency	
Initiative in same agency as licensing	
Licensing participates in planning	
Licensing not involved	
State does not have initiative	✓
Data notes:	
Accreditation facilitation project	
Lead agency	
Initiative in same agency as licensing	
Licensing participates in planning	
Licensing not involved	
State does not have initiative	✓
Data notes:	
Professional development system	
Lead agency	
Initiative in same agency as licensing	
Licensing participates in planning	
Licensing not involved	
State does not have initiative	✓
Data notes:	
Assessing quality with environment rating scale	
Lead agency	
Initiative in same agency as licensing	
Licensing participates in planning	✓
Licensing not involved	
State does not have initiative	
Data notes:	
Other quality initiative	
Lead agency	
Initiative in same agency as licensing	
Licensing participates in planning	
Licensing not involved	
State does not have initiative	
Data notes:	_

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### Data Profile for State Child Care Center Staff Qualifications

#### Click on link to go to information:

#### STAFF QUALIFICATIONS FOR CENTER ROLES

- <u>Directors</u>
- Master Teachers
- Teachers
- Assistant Teachers
- Aides

#### STAFF QUALIFICATIONS FOR DIRECTORS

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EDUCATION AND EXPERIENCE REQUIREMENTS FOR DIRECTORS	
State regulates role	Yes
State requires education and/or experience for role	Yes
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)	8

<b>Qualification alternative #1:</b> 60 actual hours of instruction, provided in conferences, seminars, lectures, or workshops in the areas of early childhood, child development, or closely related field	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time: N/A	
Experience requirements:	
Amount of experience required	24 months

Qualification alternative #2: Three credit hours or more in early childhood, child	
development, or closely related field	
Time frame for requirements:	
Preservice	✓

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Alizona	
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	24 months
•	<u></u>
Qualification alternative #3: NAC, CDA., CCP, or CPC credential	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	18 months
and the state of t	
Qualification alternative #4: A minimum of 24 credit hours, including hours of course work in the areas of early childhood, child development, field  Time frame for requirements:	•
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	18 months
·	
Qualification alternative #5: Associate degree in a closely related field	ld
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	1
Amount of experience required	6 months
	,
Qualification alternative #6: Associate degree in the areas of early cl development	hildhood, child
Time frame for requirements:	
Preservice	<b>✓</b>
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	1
Amount of experience required	6 months
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Qualification alternative #7: Bachelor's degree in a closely related field		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	3 months	

<b>Qualification alternative #8:</b> Bachelor's degree in the areas of early childhood, child development		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	3 months	
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Types of training, credentials, and degrees included in qualification alternatives:			
Clock hours	✓	Bachelor's degree	
Credit hours	✓	Bachelor's degree or higher	
Child Development Associate (CDA) credential	<b>*</b>	Bachelor's degree in a related field: N/A	<b>√</b>
State credential		Bachelor's degree in early care and education	<b>√</b>
Infant and toddler credential		Bachelor's degree in early care and education or higher	
Director credential		Master's degree	
Other state credentials: N/A		Master's degree in a related field: N/A	
Associate's degree		Master's degree in early care and education	
Associate's degree in a related field: N/A	<b>✓</b>	PhD or advanced degree	
Associate's degree in early care and education	<b>✓</b>	Teaching certificate/license	

State has additional requirements for experience to qualify for role	Yes
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	✓
Experience must be in an accredited center or family child care home	

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Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional development system (i.e., enroll in a practitioner registry)	No

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## STAFF QUALIFICATIONS FOR MASTER TEACHERS

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EDUCATION AND EXPERIENCE REQUIREMENTS FOR MASTER TEACHERS		
State regulates role No		
State requires education and/or experience for role	No	
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)	0	

Types of training, credentials, and degrees included in qualification alternatives:		
Clock hours	Bachelor's degree	
Credit hours	Bachelor's degree or higher	
Child Development Associate (CDA) credential	Bachelor's degree in a related field: N/A	
State credential	Bachelor's degree in early care and education	
Infant and toddler credential	Bachelor's degree in early care and education or higher	
Director credential	Master's degree	
Other state credentials: N/A	Master's degree in a related field: N/A	
Associate's degree	Master's degree in early care and education	
Associate's degree in a related field: N/A	PhD or advanced degree	
Associate's degree in early care and education	Teaching certificate/license	

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State has additional requirements for experience to qualify for role	No
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional development system (i.e., enroll in a practitioner registry)	No

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### STAFF QUALIFICATIONS FOR TEACHERS

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EDUCATION AND EXPERIENCE REQUIREMENTS FOR TEACHERS	
State regulates role	Yes
State requires education and/or experience for role	Yes
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)	4

Qualification alternative #1: High school or high school equivalency diploma and six		
months of child care experience		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	6 months	

Qualification alternative #2: NAC, CDA, CCP, or CPC credential		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	N/A	

<b>Qualification alternative #3:</b> Associate's degree from an accredited college or university in the areas of early childhood, child development, or closely related field.		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	N/A	

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Qualification alternative #4: Pachol	oris dos	area from an accredited co	llogo or univer	city in
<b>Qualification alternative #4:</b> Bachelor's degree from an accredited college or university in the areas of early childhood, child development, or closely related field				
Time frame for requirements:	<u> </u>	it or closely related here		
Preservice			✓	
Orientation to role/initial hiring period				
During a certain period of time:				
Experience requirements:				
Amount of experience required			N/A	
Types of training, credentials, and	degree	s included in qualificati	on alternativ	es:
Clock hours		Bachelor's degree		
Credit hours		Bachelor's degree or higher		
Child Development Associate (CDA) credential	✓	Bachelor's degree in a related field:		
State credential		Bachelor's degree in earl	y care and	✓
Infant and toddler credential		Bachelor's degree in earl education or higher	y care and	
Director credential		Master's degree		
Other state credentials: N/A		Master's degree in a rela	ted field: N/A	
Associate's degree		Master's degree in early education	care and	
Associate's degree in a related field: N/A	<b>✓</b>	PhD or advanced degree		
Associate's degree in early care and education		Teaching certificate/licen	se	

State has additional requirements for experience to qualify for role	No
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional	No
development system (i.e., enroll in a practitioner registry)	

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### STAFF QUALIFICATIONS FOR ASSISTANT TEACHERS

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EDUCATION AND EXPERIENCE QUALIFICATIONS FOR ASSISTANT TEACHERS		
State regulates role	Yes	
State requires education and/or experience for role	Yes	
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)	4	

<b>Qualification alternative #1:</b> Current and continuous enrollment in high school or a high school equivalency class			
Time frame for requirements:			
Preservice ✓			
Orientation to role/initial hiring period			
During a certain period of time:			
Experience requirements:			
Amount of experience required N/A			

Qualification alternative #2: High school or high school equivalency diploma		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	N/A	

Qualification alternative #3: Enrollment with a STRIVE program	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	N/A

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Qualification alternative #4: Enrollme	ent in vocational rehabilitation as defined in A.R.S. §
23-501(8).	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	N/A
Types of training, credentials, and de	egrees included in qualification alternatives:
Clock hours	Bachelor's degree
Credit hours	Bachelor's degree or higher
Child Development Associate (CDA)	Bachelor's degree in a related field:
credential	N/A
State credential	Bachelor's degree in early care and
	education
<ul> <li>Infant and toddler credential</li> </ul>	Bachelor's degree in early care and
	education or higher
Director credential	Master's degree

Other state credentials: N/A

Associate's degree in a related field:

Associate's degree in early care and

Associate's degree

education

Master's degree in a related field: N/A

Master's degree in early care and

PhD or advanced degree

Teaching certificate/license

State has additional requirements for experience to qualify for role	No
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

education

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Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional	No
development system (i.e., enroll in a practitioner registry)	

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### STAFF QUALIFICATIONS FOR AIDES

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EDUCATION AND EXPERIENCE QUALIFICATIONS FOR AIDES	
State regulates role	Yes
State requires education and/or experience for role	Yes
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)	3

Qualification alternative #1: A high school STRIVE program	
Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	
During a certain period of time:	
Experience requirements:	
Amount of experience required	N/A

Qualification alternative #2: An educational, curriculum-based course in child development, parenting, or guidance counseling		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	N/A	
Qualification alternative #3: A vocational education or occupational development program		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	N/A	

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Types of training, credentials, and degrees included in qualification alternatives:		
Clock hours	Bachelor's degree	
Credit hours	Bachelor's degree or higher	
Child Development Associate (CDA) credential	Bachelor's degree in a related field: N/A	
State credential	Bachelor's degree in early care and education	
Infant and toddler credential	Bachelor's degree in early care and education or higher	
Director credential	Master's degree	
Other state credentials: N/A	Master's degree in a related field: N/A	
Associate's degree	Master's degree in early care and education	
Associate's degree in a related field: N/A	PhD or advanced degree	
Associate's degree in early care and education	Teaching certificate/license	

State has additional requirements for experience to qualify for role	No
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional development system (i.e., enroll in a practitioner registry)	No

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#### **Data Profile for State Child Care Center Regulations**

#### Click on link to go to information:

#### LICENSING REGULATIONS

Child Care Center Regulations
 Summary

#### **DEFINITION OF LICENSED CHILD CARE/DAY CARE CENTERS**

- Definition of Licensed Centers
- Exemptions from Licensing

#### **CENTER STAFF REQUIREMENTS**

- Staff Roles
- Age and High School Diploma Requirements
- Other Roles in Regulations
- Hiring Policies
- Staff Health Requirements

- Background Checks
- Orientation Training
- Health and Safety Training
- Ongoing Training

#### CHILD-STAFF RATIOS AND GROUP SIZE

- Child-Staff Ratios and Group Size
   By Age of Children
- Mixed-Age Groups
- Additional Requirements

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#### SUPERVISION OF CHILDREN

- **General Supervision Requirements**
- **Supervision of Children During Naptime**
- **Supervision of Children During Evening/Overnight Care**
- **Supervision of Children During Outdoor Play**
- **Supervision of Children During Large Group Activities**
- **Supervision of Children** Swimming/Participating in Water **Activities**
- Supervision of Children **Transported in Vehicles**
- Supervision of Children During **Field Trips**

#### CARE OF CHILDREN

- **Age Definitions**
- **Children's Health Requirements**
- **Nutrition**
- **Behavior Guidance and Discipline**
- **Program and Activities**
- **Parent Involvement**

- **Specialized Care Infant and Toddler**
- Specialized Care School-Age
- Specialized Care Mildly III Children
- Specialized Care -**Evening/Overnight Care**
- **Specialized Care Drop-In Care**

#### FACILITY REQUIREMENTS

- **Environment**
- **Square Footage**
- Condition of Facility and **Equipment**
- Safety of Facility and Equipment
- **Outdoor Space**
- Fire Safety
- **General Emergency Plans**
- **Emergency Preparedness**
- Security
- **Transportation**
- **Liability Insurance**

- **Administration of Medication**
- **Medical Procedures**
- Care of Mildly III Children
- **Incident Reporting**
- **Additional Health Requirements**
- **Hand Washing**
- **Diapering**
- **Smoking Policies**
- **Hazardous Materials**
- **Firearms**
- **Animals in Facility**

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Publication of NARA and NCCIC, 2006

#### LICENSING REGULATIONS

	<u> 1</u>
CHILD CARE CENTER REGULATIONS SUMMARY	
	<u> </u>
Name of regulations: 9. AAC 5. Department of Health Services Child Care Facilities	
Date of regulations: 9/1/2004	
State has separate regulations for the following types of care:	
School-age care programs	
Infant and toddler care programs	
Programs for mildly ill children	
Evening/overnight care programs	
Drop-in child care programs	
Other programs: N/A	

# DEFINITION OF LICENSED CHILD CARE/DAY CARE CENTERS

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DEFINITION OF LICENSED CENTERS	
	<u> </u>
State has definition of "child care center" or "day care center"	No
Text of definition: N/A	
State has definition of "child care facility" or "day care facility"	Yes
Text of definition: "Child care facility" means any facility where cl provided for compensation for five or more children not related to	9
Elements included in state's definition of a licensed child of	are center:
Minimum number of children	✓
Minimum age of children	
Maximum age of children	
Services are provided for less than 24 hours or any part of a 24-hour day	<b>√</b>
Minimum number of hours services are provided	
Maximum number of hours services are provided	
Services are provided on an ongoing/regular basis	✓
Services are provided on a scheduled basis	
Services are provided before or after school hours	

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Services are provided at the parent's work site	
Parent is not present at work site	
Provider and children are not related by blood, marriage,	✓
and/or adoption	
Children are not from provider's immediate family; provider is	
not parent/guardian or custodian of children	
Children are not from one family (siblings)	
Services are provided in a non-residential setting	
Services are not provided in provider's/licensee's home	
Services are not provided in child's home	✓
Services can be provided in a private facility	
Services can be provided in a public facility	
Services can be provided for profit	
Services can be provided not for profit	
Services can be provided for payment or compensation	<u> </u>
Data notes: Definitions in Arizona Revised Statutes. Chapter 36 - Public Health and Safety,	
Chapter 7.1, Child Care Programs, Article 1	

EXEMPTIONS FROM LICENSING	
EXEMITIONS INCIMENSE	<u> </u>
State has defined types of programs that are exempt from	Yes
licensing	
Characteristics of programs that are exempt from licensing:	
Maximum number of children in care to be exempt	N/A
Number of hours child care services are provided to be exempt	N/A
Services are part-day	
Services are provided irregularly/occasionally	
Program is a nursery school or preschool program	
Program is a kindergarten program	
Program is a Head Start program	
Services are provided by a relative of the children in care	✓
State definition of "relative": Parents or blood relatives.	
Services are provided by parent, guardian, or custodian of the	✓
children in care	
Services are provided at no cost to the parents	
Services are provided with no compensation to the provider	
Program is a club (Boys and Girls Club, etc.)	
Program is a day camp (summer, seasonal, etc.)	
Services are for school-age children	
Program is a recreation program	✓
Program is instructional classes for children	✓
Services are provided by a religious organization	

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Services are provided during religious services	✓
Program offers religious instruction	✓
Services are provided in a facility where the parent is on the premises and accessible (not for employment)	
• Casino	
Resort	
Conference Center	
Shopping mall	
Bowling alley	
Health club	
Other programs where parents are on the premises: N/A	
Services are "drop-in" care	
Services are provided by a preschool program approved by the state department of education	
Program is a preschool program operated by a public school or system	
Program is a preschool program operated by a private school or system	
Services provided by a public prekindergarten program	
Services provided on a military installation/base	
Facility is operated by a unit of local, state, or federal government	
Facility is operated by a college/university	
Other everntions:	

#### Other exemptions:

- A unit of the public school system, including specialized professional services provided by school districts for the sole purpose of meeting mandated requirements to address physical and mental impairments. If a public school provides child care other than during the school's regular hours or for children who are not regularly enrolled in kindergarten programs or grades 1 through 12, that portion of the school that provides child care is subject to standards of care.
- A regularly organized private school engaged in an educational program that may be attended in substitution for public school. If the school provides child care beyond regular public school hours or for children who are not regularly enrolled in kindergarten programs or grades 1 through 12, that portion of the school providing such care shall be considered a child care facility and is subject to the provisions of this article.
- A religious institution conducting a nursery in conjunction with its religious services or conducting parent-supervised occasional drop-in care.
- Any of the Arizona state schools for the deaf and the blind.

**Data notes:** Exemptions in Arizona Revised Statutes. Chapter 36 - Public Health and Safety, Chapter 7.1, Article 1

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### **CENTER STAFF REQUIREMENTS**

		<u> </u>
STAFF ROLES		<b>^</b>
Role	Role Included in Regulations	State's Role Name
Director	Yes	Director
Master teacher	No	N/A
Teacher	Yes	Teacher-caregiver
Assistant teacher	Yes	Assistant teacher-caregiver
Aide	Yes	Student-aide

AGE AND HIGH SCHOOL DIPLOMA REQUIREMENTS			
Role	Minimum Age Requirement	Other Age Requirements	High School Diploma or GED Required
Director	21	N/A	HS diploma or GED required
Master teacher	N/A	N/A	N/A
Teacher	18	N/A	HS diploma or GED required
Assistant teacher	16	N/A	Attending HS or GED prep courses
Aide	N/A	Age not specified; role is "student- aide"	Not required
Additional requirements: N/A			

OTHER ROLES IN REGULATIONS			
Role	Included in Regulations	Minimum Age Requirement	Other Requirements
Substitute		N/A	N/A
Volunteer	✓	16	N/A
Other roles: Driver	✓	18	N/A

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HIRING POLICIES		<b>^</b>
State requirements on reference checks	Staff	Director
References are required when hiring center staff	✓	✓
References must be from certain people	✓	✓
Non-relative		
Professional acquaintance	✓	✓
Previous employer     ✓		
Type of references required (written, verbal, or both)		

Additional requirements: At least two professional references and two personal references for staff and directors; at least one of each type must be written. "Personal reference" means an adult who is familiar with a director's or staff member's character due to observations made as a friend or acquaintance. "Professional reference" means an adult who is familiar with a director's or staff member's work abilities due to observations made as a supervisor or leader in a business, school, church, or other organizational setting.

STAFF HEALTH REQUIREMENTS	
Center facility records must include health reports on staff	<u> </u>
·	Ne
Center staff required to have a physical exam or to provide health statement from a physician	No
Required at hiring	
Required annually or at another time interval	
Required for staff having contact with children	
Required for staff having contact with food	
Center staff required to have tuberculosis screening	No
Required at hiring	
Required annually or at another time interval	
Required for staff having contact with children	
Required for staff having contact with food	
Center staff required to provide proof of immunizations	Yes
Required at hiring	
Required annually or at another time interval	
Required for staff having contact with children	
Required for staff having contact with food	
Center staff must meet additional requirements regarding their	No
health	
Center staff required to provide proof of suitability to provide care to	
children	
Center staff required to have a drug, alcohol, and/or substance	
screening Additional requirements: N/A	
Additional requirements: N/A	

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BACKGROUND CHECKS	•
State requires check of criminal history records for center staff	Yes
State criminal history record check required	
Federal criminal history record check required	
Required for all center staff	✓
Required for center staff having contact with children	
Required for center staff under certain conditions (i.e., lived in state a certain amount of time)	
Required for volunteers	
<ul> <li>Required for staff not working with children (i.e., cook, janitor, bus driver, etc.)</li> </ul>	
State requires check of child abuse and neglect registry for center staff	No
Required for all center staff	
Required for center staff having contact with children	
<ul> <li>Required for center staff under certain conditions (i.e., lived in state a certain amount of time)</li> </ul>	
Required for volunteers	
<ul> <li>Required for staff not working with children (i.e., cook, janitor, bus driver, etc.)</li> </ul>	
State requires checks of fingerprint records for center staff	Yes
State fingerprint record check required	
Federal fingerprint record check required	
Required for all center staff	✓
Required for center staff having contact with children	
<ul> <li>Required for center staff under certain conditions (i.e., lived in state a certain amount of time)</li> </ul>	
Required for volunteers	
<ul> <li>Required for staff not working with children (i.e., cook, janitor, bus driver, etc.)</li> </ul>	
State requires checks of the sex offender registry	No
State requires center staff to sign a statement about criminal status	
Additional requirements: N/A	

ORIENTATION TRAINING	<b>1</b>
Center staff required to complete orientation training	Yes
Required orientation training is about the facility's policies and procedures	<b>√</b>
Required orientation training is about state's licensing regulations	

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License applicant required to complete orientation training during the application process	
Licensee required to complete orientation training	
Only center director required to complete orientation training	
Center staff working directly with children required to complete orientation training	<b>✓</b>
Orientation training can count toward ongoing hour requirements for the first year of employment	
Center must develop and/or provide orientation training to new employees and volunteers	<b>√</b>
Orientation training is developed and delivered by the licensing agency	

#### Required content for orientation training:

- (A) A licensee shall provide, and each staff member who provides child care services to children, shall complete within 10 days of the starting date of employment or volunteer service, training for new staff members that includes all of the following:
- (1) Facility philosophy and goals;
- (2) Names, ages, and needs of children to be assigned to a staff member;
- (3) Health needs, nutritional requirements, and information about adaptive devices of children for whom a staff member will provide child care services;
- (4) Lesson plans;
- (5) Child guidance and methods of discipline;
- (6) Hand washing techniques;
- (7) Diapering techniques and toileting, if assigned to diaper changing duties;
- (8) Food preparation, service, sanitation, and storage, if assigned to food preparation;
- (9) Infant formula preparation, if assigned to formula preparation;
- (10) Recognition of signs of illness and infestation;
- (11) Child abuse or neglect detection, prevention, and reporting;
- (12) Accident and emergency procedures;
- (13) Staff responsibilities as required by A.R.S. § 36-881 et seq. and these rules;
- (14) Sun safety policies and procedures; and
- (15) Safety on outdoor activity areas.

Additional requirements: N/A

HEALTH AND SAFETY TRAINING	<b>1</b>
Center staff required to complete first aid training	Yes
Current certification in first aid training required	✓
First aid training must be for the care of infants and/or children	✓
Center staff working directly with children required to complete first aid training	
At least one person on duty required to complete first aid training	✓
At least one person for each group of children required to complete first aid training	
Center staff required to complete CPR training	Yes
Current certification in CPR training required	✓
CPR training must be for the care of infants and/or children	✓

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Center staff working directly with children required to complete CPR training	
At least one person on duty required to complete CPR training	✓
At least one person for each group of children required to complete CPR training	
Center staff required to complete fire safety training	No
Fire safety training must be taught by a fire prevention professional	
Current certification in fire safety training required	
All center staff required to complete fire safety training	
Other types of health and safety training required	
Hand washing techniques and indications for hand washing	✓
Sudden Infant Death Syndrome prevention	
Lifeguard training	
Water safety	
Prevention of spread of HIV/AIDS and blood borne pathogens	
Care of sick children	
Prevention of spread of communicable disease/universal precautions	
Administration of medication	
Additional health and safety training: Child abuse or neglect detection, prevention, and reporting required in orientation.	

ONGOING TRAINING	_
Ongoing training required for directors	Yes
Number of hours required annually	12
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: N/A	
Ongoing training required for master teachers	No
Number of hours required annually	
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: N/A	
Ongoing training required for teachers	Yes
Number of hours required annually	12
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: N/A	

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Ongoing training required for assistant teachers	Yes
Number of hours required annually	12
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: N/A	
Ongoing training required for aides	Yes
Number of hours required annually	12
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: N/A	
Ongoing training hours required in specific content areas for center directors	Yes

#### Content of ongoing training hours:

A licensee shall ensure that, every 12 months from a staff member's date of employment, the staff member completes 12 or more actual hours of training in one or more of the following areas:

- (1) Accident and emergency procedures, including CPR and first aid for infants and children;
- (2) Recognition of signs of illness and infestation;
- (3) Child growth and development;
- (4) Child abuse or neglect detection, reporting, and prevention;
- (5) Child guidance and methods of discipline;
- (6) Nutrition and developmentally appropriate eating habits;
- (7) Availability of community services and resources, including those available to children with special needs;
- (8) Parent involvement and communication with parents;
- (9) Program administration, planning, and development;
- (10) Environment of child care activity areas;
- (11) Sun safety policies and procedures; and
- (12) Safety on outdoor activity areas.

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	3

Ongoing training hours required in specific content areas for	Yes
teaching staff	

Content of ongoing training hours:

A licensee shall ensure that, every 12 months from a staff member's date of employment, the staff member completes 12 or more actual hours of training in one or more of the following areas:

- (1) Accident and emergency procedures, including CPR and first aid for infants and children;
- (2) Recognition of signs of illness and infestation;
- (3) Child growth and development;
- (4) Child abuse or neglect detection, reporting, and prevention;
- (5) Child guidance and methods of discipline;
- (6) Nutrition and developmentally appropriate eating habits;
- (7) Availability of community services and resources, including those available to children with special needs;
- (8) Parent involvement and communication with parents;
- (9) Program administration, planning, and development;
- (10) Environment of child care activity areas;
- (11) Sun safety policies and procedures; and
- (12) Safety on outdoor activity areas.

Types of training accepted/approved for ongoing training	No
hours are specified	
Job orientation	
College credit hours	
Non-credit coursework	
Training provided by organizations approved by state training approval system	
Training provided by organizations licensed or certified professionally competent in the training topic	
Other: N/A	
Delivery methods accepted/approved for ongoing training hours are specified	No
Adult education courses	
Training provided by an approved or accredited secondary institution/vocational school	
Training provided by an approved or accredited institution of higher education	
Audio-visual materials/videos/reading materials	
Conference workshops	
Community-based workshops	
Distance learning methods (Internet, video conferences, etc.)	
In-service training delivered by center director	
Other: N/A	

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#### **CHILD-STAFF RATIOS AND GROUP SIZE**

CHILD-STAFF RATIOS AND GROUP SIZE BY AGE OF CHILDREN		
Age of Children	Child-Staff Ratio	Group Size
6 weeks	5:1	Not regulated
9 months	5:1	Not regulated
18 months	6:1	Not regulated
27 months	8:1	Not regulated
3 years	13:1	Not regulated
4 years	15:1	Not regulated
5 years	20:1	Not regulated
6 years	20:1	Not regulated
7 years	20:1	Not regulated
8–9 years	20:1	Not regulated
10 years and older	20:1	Not regulated
Additional requirements: Infants - 5:1 or 11:2; and 1-year-old children - 6:1 or 13:2.		

MIXED-AGE GROUPS	<u> </u>
State permits mixed-age groupings of children	Yes
Child-staff ratio requirements for mixed-age groups: Determine and main child-staff ratio for a group of children based on the age of the youngest	
Group size requirements for mixed-age groups: N/A	
Additional requirements: N/A	

ADDITIONAL REQUIREMENTS	<b>1</b>
State has a definition for "group"	No
Text of definition: N/A	
State requires that each group of children has a designated space—either a separate room or delineated space in a large room	
State requirements on legally exceeding limits	
Centers allowed legally to exceed licensed capacity	
Conditions: N/A	
Centers allowed legally to exceed required child-staff ratios	
Conditions: N/A	
Centers allowed legally to exceed required group size	

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Conditions: N/A	
State has requirements for the supervision of children based on the size of the center	No
State has requirements for child-staff ratios based on the size of the center	
State has requirements for group size based on the size of the center	
Additional requirements: N/A	

#### SUPERVISION OF CHILDREN

	<u> </u>
GENERAL SUPERVISION REQUIREMENTS	
State has requirements for staff supervising children	Yes
Staff must be free of other duties	✓
Staff must be alert/awake	
Staff must be able to see children at all times	✓
Staff must be able to hear children at all times	✓
State has requirements related to supervision of children during emergencies	
State has requirements related to supervision and the use of substitutes for staff	
Centers are allowed to use video surveillance cameras or electronic monitors in lieu of direct supervision	
State has requirements related to the use of video surveillance cameras for parents to view their children while at the center	
Additional requirements: Requirements within the definition of "supervision of "supervision of the control of t	sion."

SUPERVISION OF CHILDREN DURING NAPTIME	<b>1</b>
State has requirements for the supervision of children during naptime	No
Staff person must be present in room where children are napping	
Staff must be able to see children	
Staff must be able to hear children	
State has requirements for the supervision of children during naptime that are delineated by the age of the child	
Infant and toddler	
Preschool	
School-age	
Staff person supervising during naptime must be free of other duties	
Center must have written supervision plan for naptime	

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State has specific child-staff ratio requirements for naptime	No
Requirements: N/A	
State has specific group size requirements for naptime No	
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN DURING EVENING/OVERNIGHT CAR	RE
(see also <u>Specialized Care – Evening/Overnight Care</u> )	<b>^</b>
State has requirements for the supervision of children during evening/overnight care	Yes
Staff person must be present in room where children are sleeping	
Staff must be able to see sleeping children	
Staff must be able to hear sleeping children	
Staff person supervising sleeping children must be free of other duties	
Staff must be awake	Awake at all times
Center must have written supervision plan for evening/overnight care	
State has specific child-staff ratio requirements for evening/overnight care	No
Requirements: N/A	
State has specific group size requirements for evening/overnight care	No
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN DURING OUTDOOR PLAY	<b>1</b>
State has requirements for the supervision of children during	No
Outdoor play Staff person must be present outdoors with children	
Staff must be able to see children playing outdoors	
Staff must be able to hear children playing outdoors	
Center must have written supervision plan for outdoor play	
State has specific child-staff ratio requirements for outdoor play	No
Requirements: N/A	
State has specific group size requirements for outdoor play	No
Requirements: N/A	
Additional requirements: N/A	

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SUPERVISION OF CHILDREN DURING LARGE GROUP ACTIVITIES	<b>1</b>
State has requirements for the supervision of children during large group activities	No
Staff person must be present with children during large group activities	
Staff must be able to see children during large group activities	
Staff must be able to hear children during large group activities	
Center must have written supervision plan for large group activities	
State has specific child-staff ratio requirements for large group activities	No
Requirements: N/A	
State has specific group size requirements for large group activities	No
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN SWIMMING/PARTICIPATING IN WA	ATER ACTIVITIES
State has requirements for the supervision of children when	Yes
swimming or participating in water activities	
Staff person must be present with children when swimming or	
participating in water activities	
Staff must be able to see children	
Staff must be able to hear children	
Written permission from parents required for swimming and water	✓
activities	
Center must have written supervision plan for swimming and water	
activities	
State has specific child-staff ratio requirements for swimming	Yes
and water activities	
Requirements: If a licensee conducts swimming activities at a swimming pool that does not have a lifeguard on the premises who has current lifesaving certification from the American Red Cross, the licensee shall maintain staff-to-children ratios and have at least 1 additional staff member who:	
1. Has a current lifesaving certificate from the American Red Cross; and	
2. Is present in the pool or observing pool side while enrolled children as	re at the pool.
State has specific group size requirements for swimming and	No
water activities	
Requirements: N/A	
Additional requirements: N/A	

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SUPERVISION OF CHILDREN TRANSPORTED IN VEHICLES (see also Facility Requirements – Transportation)	
(See also <u>Facility Requirements – Transportation</u> )	<u> </u>
State has requirements for the supervision of children when	Yes
transported in a vehicle	
Children must not be left unattended in a vehicle	
Staff must supervise children when they board and exit a vehicle	✓
Attendance record of children must be kept	
Once vehicle is unloaded, additional checks for children remaining on	
board are conducted	
Staff or driver must ensure that children are received by a parent or	
other designated person	
Driver is counted in child-staff ratio	✓
Written permission from parents required for transporting children	
Emergency contact information for children taken on vehicle	✓
Center must have written supervision plan for transporting children in	
a vehicle	
State has specific child-staff ratio requirements for	Yes
transporting children in a vehicle	
Requirements: When transporting enrolled children who are not school-	
vehicle, a licensee shall maintain the staff-to-children ratios in addition	to the motor vehicle
driver unless four or fewer children are being transported. When transported	orting children of
school age in a motor vehicle, a licensee shall maintain the staff-to-child	
may include the motor vehicle driver as staff in the staff-to-children rati	0.
State has specific group size requirements for transporting	No
children in a vehicle	
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN DURING FIELD TRIPS	
State has requirements for the supervision of children during field trips	Yes
Attendance record kept of children on field trip	✓
Additional staff/adults (volunteers, parents, driver) needed for field trips under certain conditions	
Written permission from parents required for field trips	✓
Emergency contact information for children taken on field trip	✓
Children are required to wear name tags or other identification	✓
Center must have written supervision plan for field trips	
State has specific child-staff ratio requirements for field trips	Yes
Requirements: When 6 or more enrolled children are participating in a field trip, a licensee shall ensure that a teacher-caregiver and at least 1 additional staff member are present on	

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the field trip.

State has specific group size requirements for field trips No	
Requirements: N/A	
Additional requirements: Need to prepare a general written field trip pla	n.

#### **CARE OF CHILDREN**

1

#### **AGE DEFINITIONS**

#### **^**

#### Definition of "infant"

Text of definition: "Infant" is defined as: (a) a child 12 months of age or younger, or (b) a child 18 months of age or younger who is not yet walking.

#### Definition of "toddler"

Text of definition: "One-year-old" is defined as a child who is at least 12 months of age but not yet 2 years of age.

#### Definition of "preschool-age"

Text of definition: N/A

#### Definition of "school-age"

Text of definition: "School-age child" is defined as a child who

- (a) Meets one of the following:
- i. Is 5-years-old on or before January 1 of the current school year, or
- ii. Is 5-years-old on or before January 1 of the most recent school year; and
- (b) Meets one of the following:
- i. Attends kindergarten or a higher level program in a public, charter, accommodation, or private school during the current school year;
- ii. Attended kindergarten or a higher level program in a public, charter, accommodation, or private school during the most recent school year;
- iii. Is home-schooled at a kindergarten or higher level during the current school year; or
- iv. Was home-schooled at a kindergarten or higher level during the most recent school year.

CHILDREN'S HEALTH REQUIREMENTS	•
State requires children to have a physical exam to enroll in a center	No
Center must keep records of children's physical exams	
Health records must be provided to the center within a specific time frame after a child's enrollment	
State requires children to have immunizations to enroll in a	V/
State requires children to have inimunizations to emon in a	Yes
center	Yes
•	Yes
center           Immunization records must be provided to the center within a specific	Yes

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are exempt from immunization requirements for medical reasons	
Center can exclude children from attendance until immunization	✓
records or exemption statements are provided	
Center can accept children on a conditional basis if not all	<b>✓</b>
immunizations are complete	
Center can refuse to enroll children of parents who do not wish to have	
their children immunized	
Additional requirements: N/A	
Children are required to have a blood lead level screening to enroll in a	
center	
Center must keep emergency contact information in children's records	<b>√</b>
Additional requirements: N/A	

NUTRITION	<b>^</b>
State has requirements about nutrition and meals/snacks for children	Yes
State has requirements about the nutritional content of meals/snacks served to children	<b>✓</b>
State has requirements about the number or time interval between the delivery of meals/snacks served to children	<b>~</b>
Center must post a menu of meals/snacks served to children	✓
Center must provide all meals/snacks for children	
Parents/guardians can provide food for their own children on a regular basis	<b>√</b>
Parents/guardians can bring food for all children for special occasions	
Center must provide supplemental food (to what parents provide) to meet nutritional content requirements	
State has requirements about feeding infants	<b>✓</b>
Additional requirements: N/A	

BEHAVIOR GUIDANCE AND DISCIPLINE	<b>1</b>
State has requirements regarding behavior guidance and/or disciplining children	Yes
Specific forms of discipline/behavior guidance that centers ARE ALLOWED to use are in regulations	Yes
Supervised separation from the group	✓
Physical/corporal punishment     (Note: ✓ = state allows or it is not prohibited/addressed in regulations)	

Other forms of discipline allowed: A licensee may allow a staff member to separate a child from other children for unacceptable age-appropriate behavior. The separation period shall be for no longer than three minutes after the child has regained control or composure. A staff member shall not allow a child to be separated for longer than 10 minutes without the staff member interacting with the child.

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Specific forms of discipline/behavior guidance that centers ARE NOT ALLOWED to use are in regulations	Yes
Denial of food/food-related punishment	✓
Derogatory remarks	
Abusive language	
Yelling or shouting/screaming	
Physical punishment	✓
Forced napping/no nap/rest-related	✓
Physical/mechanical restraint	
Locked time-out room, closet, or dark room	
Punishment for toileting accident/toileting-related punishment	✓
Putting something in child's mouth	
Discipline/punishment by another child	✓
Other forms of discipline not allowed: A method of discipline that could dealth, safety, or welfare of a child.	cause harm to the
Center must have written policies on discipline and behavior guidance practices	<b>√</b>

PROGRAM AND ACTIVITIES	<u>^</u>
Center must have a written daily schedule of activities	Yes
Center must post daily schedule	✓
Center must provide specific activities for children	Yes
Regular meal/snack times	✓
Nap or rest period	✓
Toileting and washing/hand washing	
Outdoor play	✓
Indoor play	✓
Child-initiated activities	✓
Staff-initiated activities	✓
Individual activities	
Group activities	✓
Large group activities	✓
Small group activities	✓
Active play	✓
Quiet play	✓
Free play	
Creative expression	
Gross motor activities	✓
Fine motor activities	✓
Other activities: N/A	•

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Developmental needs of children must be addressed in activities		Yes
Area of Children's Development	Must Be Addressed in Activities	Regulations List Specific Activities
Social development	✓	71001710100
Emotional development	✓	
Cognitive/intellectual development	✓	
Physical development	✓	
Language/literacy development	✓	
Cultural development	✓	

#### Other areas of development:

- a. Gain a positive self-concept;
- b. Develop and practice social skills;
- c. Think, reason, question, and experiment;
- d. Acquire language skills;
- e. Develop physical coordination skills;
- f. Develop habits that meet health, safety, and nutritional needs;
- g. Express creativity;
- h. Learn to respect cultural diversity of children and staff;
- i. Learn self-help skills; and
- j. Develop a sense of responsibility and independence.

State has requirements for the specific types of equipment/materials a center must have for children	Yes
Books and other literacy materials	✓
Number of books required	
Additional requirements about books: N/A	
Art supplies/creative activities	✓
Blocks/construction materials	
Sensory materials	
Fine motor manipulatives	✓
Gross motor equipment (indoor and/or outdoor)	✓
Dramatic play/pretend	✓
Science/experimental/math (counting/sorting)	✓
Technology/computers	
Music	✓
Other types of anythreset newtred N/A	

Other types of equipment required: N/A

Additional requirements: Equipment/materials compiled from supplemental requirements for children 3, 4, and 5 years of age. State also has requirements for children ages 1 and 2 years, and school-age children.

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PARENT INVOLVEMENT	<b>^</b>
State has requirements regarding parent involvement	Yes
Center must encourage parent involvement	
Center must provide opportunities for parent involvement	
Additional requirements: A licensee shall ensure that parents are informed that they have access to all areas of a facility where child care services are provided during hours of operation and that parents are permitted to participate in any child care activity.	
State has requirements about parents' access to the center	Yes
Center must provide access to parents at all times; no prior notice needed	<b>✓</b>
Parents can have unsupervised access to all children or the whole facility	
Parents can have unsupervised access only to their own children	
Parents can have supervised access to all children	
State has requirements about the center's communication with parents	Yes
Center must provide written copies of policies and procedures to parents	<b>✓</b>
Center must keep logs of children's care and communicate with parents	<b>~</b>
Center must hold regularly scheduled meetings with parents	
Center must have a resource area for parents	
Additional requirements: Log required for the care of infants.	•

SPECIALIZED CARE – INFANT AND TODDLER	
	<u> </u>
State has requirements that specifically address the care of	Yes
infants and/or toddlers	
State has requirements on the supervision of infants and/or toddlers	✓
Each infant must have a primary caregiver	✓
State has requirements for staff working with infants and/or toddlers	
Staff requirements: N/A	
State has requirements on program/activities specifically for infants	✓
and/or toddlers	
State has requirements on equipment/materials specifically for infants	<b>✓</b>
and/or toddlers	
State has requirements for the facility related to the care of infants	✓
and/or toddlers	
State has requirements on reducing the risk of Sudden Infant De	ath Syndrome
(SIDS)	
Infants must be placed on their backs to sleep	
Physicians can authorize a different sleep position for infants	
Parents can authorize a different sleep position for infants	

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Soft bedding/materials must not be used in cribs	✓
Additional requirements on SIDS prevention: N/A	

SPECIALIZED CARE – SCHOOL-AGE	<b>1</b>
State has requirements that specifically address the care of school-age children	Yes
State has requirements on the supervision of school-age children	✓
State has requirements for staff working with school-age children	
Staff requirements: N/A	
State has requirements on program/activities specifically for schoolage children	<b>~</b>
State has requirements on equipment/materials specifically for schoolage children	✓
State has requirements for the facility related to the care of schoolage children	✓

SPECIALIZED CARE – MILDLY ILL CHILDREN (see also Care of Mildly III Children)		
State has requirements that specifically address the care of children who are mildly ill	Yes	
State has requirements on the supervision of mildly ill children		
State has requirements on program/activities specifically for mildly ill children		
State has requirements on equipment/materials specifically for mildly ill children		
State has requirements for the facility related to the care of mildly ill children		

SPECIALIZED CARE — EVENING/OVERNIGHT CARE (see also Supervision of Children During Evening/Overnight Care)	<b>1</b>
State has requirements that specifically address the care of	Yes
children during evening/overnight hours	
State has requirements on program/activities specifically for the care	
of children during evening/overnight hours	
State has requirements on equipment/materials specifically for the	✓
care of children during evening/overnight hours	
State has requirements for the facility related to the care of children	✓
during evening/overnight hours	

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SPECIALIZED CARE – DROP-IN CARE	<b>1</b>
State has requirements that specifically address the care of	No
children in drop-in programs	
State has requirements on the supervision of children in drop-in	
programs	
State has requirements on program/activities specifically for children	
in drop-in programs	
State has requirements for the facility related to the care of children in	
drop-in programs	
Additional requirements on drop-in care: N/A	

#### **FACILITY REQUIRMENTS**

	<u>T</u>
ENVIRONMENT	<b>1</b>
State has requirements about environmental tests of the facility	No
Requires lead tests	
Requires asbestos tests	
Requires radon tests	
State has requirements about environmental inspections	Yes
Requires fire inspections	Every 36 months
Requires health inspections	
Requires building code inspections	
Additional requirements: Fire inspections must be conducted a minimum of every 36 months by a local fire department or the State Fire Marshal.	

SQUARE FOOTAGE	
Number of square feet of indoor space per child	25 square feet
Total amount of square feet for area	N/A
Additional requirements: N/A	
Number of square feet of outdoor space per child	75 square feet
Total amount of square feet for area	N/A
Additional requirements: N/A	
Number of square feet per child, based on age of child	
Per infant	35 square feet
Per toddler	35 square feet
Per preschool-age child	25 square feet
Per school-age child	N/A

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#### Additional requirements:

- 1. At least 35 square feet of indoor activity space for each infant and 1-year-old child;
- 2. At least 25 square feet of indoor activity space for each child who is not an infant or 1-year-old child; and
- 3. When 1-year-old children are grouped together with children older than 1-year-old children in the same activity area, at least 35 square feet of indoor activity space for each child.

CONDITION OF FACILITY AND EQUIPMENT	<u> </u>
State has requirements on the condition of the indoor area of the building/facility	<b>✓</b>
State has requirements on the condition of the outdoor area of the building/facility	<b>✓</b>
State has requirements on the condition of indoor equipment	✓
State has requirements for child care centers on the condition of outdoor equipment	<b>√</b>
State has requirements for child care centers on the condition of materials and toys for children	<b>✓</b>

SAFETY OF FACILITY AND EQUIPMENT	
State has requirements on the safety of indoor equipment	✓
State has requirements on the safety of outdoor equipment	✓
State has requirements on the surfaces under indoor equipment	
State has requirements on the surfaces under outdoor equipment	✓

#### Details on state requirements on surfaces under equipment:

- 1. A shock-absorbing rubber unitary surfacing material manufactured for such use in outdoor activity areas; or
- 2. A minimum depth of 6 inches of a non-hazardous, resilient material such as fine loose sand or wood chips. A licensee shall ensure that hard surfacing material such as asphalt or concrete is not installed or used under swings or climbing equipment unless used as a base for a rubber surfacing.

in an anti-	
State has requirements on sleeping equipment	✓
State requires equipment to be free of components that can pinch,	
sheer, or crush body tissues	

OUTDOOR SPACE	<b>1</b>
State requires outdoor space is enclosed/has a fence	Yes
Required height for fence: 4 feet	•
State has requirements on swimming pools	✓
State has requirements to protect children from bodies of water	
(ponds, lakes, rivers, etc.)	
State has requirements about alternatives to outdoor space	

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FIRE SAFETY	<u> </u>
State has requirements on fire safety in child care centers	Yes
Centers are required to have an evacuation plan for fires	
Centers must conduct fire drills	✓
Time interval for fire drills: At least once every 30 days and at different times of the day.	
Additional requirements: N/A	

GENERAL EMERGENCY PLANS	<b>1</b>
Center must have a general emergency plan for all	Yes
emergencies	
Center must have a general evacuation plan for all emergencies	<b>✓</b>
State requires centers to conduct drills for all emergencies	
Time interval between drills: N/A	

EMERGENCY PREPAREDNESS	<u>^</u>
State has requirements on emergency preparedness	No
Centers must have emergency plans/procedures for natural disasters (tornados, hurricanes, earthquakes, other weather conditions)	
Centers must have emergency plans/procedures for utility-related problems (blackouts, etc.)	
Centers must have emergency plans/procedures for acts of terrorism	
Centers must perform drills of their emergency preparedness procedures (other than fire)	
Additional requirements: N/A	

SECURITY	<b>1</b>
Centers must have a procedure for accepting children when they enter the center and the release of children when they leave	<b>√</b>
Centers must have a procedure for signing children in and out when they enter and exit the facility	✓
Centers must keep daily attendance records of children	✓
Centers must have policies on the monitoring of visitors	
Centers must have security/surveillance cameras	
Additional requirements: N/A	

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TRANSPORTATION (see also <u>Supervision of Children Transported in Vehicles</u> )	
State has requirements on transporting children in vehicles	Yes
State has requirements about the condition of the vehicle	✓
State has requirements about emergency equipment needed in the vehicle	
States has requirements about seating in the vehicle	
State has requirements about vehicle safety restraints for children	✓
State has requirements about seat belts for children	✓
State has requirements about car seats/child restraint systems	✓
State has requirements about the driver of the vehicle	✓
First aid kit must be kept in the vehicle	✓
Additional requirements: N/A	

LIABILITY INSURANCE	<b>1</b>
State requires centers to have liability insurance	Yes
General liability insurance required	Yes
Amount of insurance required: General facility liability insurance of at least	ast \$300,000.
Professional liability insurance required	No
Amount of insurance required: N/A	
Automobile insurance required	Yes
Amount of insurance required: Motor vehicle insurance coverage is required for each motor vehicle used by a licensee to transport enrolled children. The licensee shall maintain proof of current motor vehicle insurance coverage on facility premises and inside each motor vehicle used for transporting enrolled children.	
Medical payment insurance required	No
Amount of insurance required: N/A	
Other types of insurance required: N/A	
Additional requirements: N/A	

ADMINISTRATION OF MEDICATION	<b>1</b>
State has requirements on the administration of medication to children	Yes
Centers must obtain permission from parent/guardian to administer medication to a child	<b>√</b>
Center must obtain written instructions from the parent/guardian or physician on how to administer the medication (Note: "Yes" response can include the instructions on a prescription or medicine container)	<b>√</b>
Center must maintain records of medications administered to children	✓
Additional requirements: N/A	

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MEDICAL PROCEDURES	<u> </u>
State has requirements on performing medical procedures on children	No
Centers must obtain permission from parent/guardian to perform a medical procedure on a child	
Centers must obtain written instructions from the parent/guardian or physician on how to perform the medical procedure	
Center must maintain records of medical procedures performed on children	
Additional requirements: N/A	

CARE OF MILDLY ILL CHILDREN (see also Specialized Care – Mildly III Children)	
State has requirements on the care of mildly ill children	Yes
Centers can admit a child who is mildly ill	
Centers can exclude a child who is mildly ill	✓
Additional requirements: Licensee shall not permit a child to remain at the facility if a staff member determines that a child shows signs of illness or infestation.	

INCIDENT REPORTING	<u> </u>
Centers must report to the licensing agency all serious injuries that occur to children in the program	
Centers must keep a record of all serious injuries that occur to children in the program	
Centers must report to the licensing agency all deaths that occur to children in the program	
Additional requirements: N/A	

ADDITIONAL HEALTH REQUIREMENTS	<b>1</b>
Centers must have a health consultant	
Centers must have a first aid kit	✓
Requirements specify items that must be in the first aid kit	✓
Additional requirements: N/A	

Key:	
✓ = Yes	NARA = National Association for Regulatory Administration
♠ = Click to go back to top	NCCIC = National Child Care Information and Technical Assistance
	Center
N/A = Not Applicable/Not	NRC = National Resource Center for Health and Safety in Child
Addressed	Care and Early Education

HAND WASHING	<b>^</b>
State has requirements on hand washing for staff	Yes
State has requirements for centers that specify when staff must wash	✓
their hands	
Center staff must wash their hands after toileting	✓
Center staff must wash their hands after diapering a child	✓
Center staff must wash their hands after toileting a child	
Center staff must wash their hands before and/or after preparing, serving, or eating food	
Center staff must wash their hands after attending to an ill child	
Center staff must wash their hands after handling, feeding, or cleaning up after animals	
Other times staff must wash their hands: N/A	
State has requirements on the location and/or amount of hand washing facilities for staff	✓
State has requirements on hand washing for children	Yes
State has requirements for centers that specify when children must wash their hands	✓
Children must wash their hands after toileting	✓
Children must wash their hands after diapering	✓
Children must wash their hands before and/or after eating food	✓
Children must wash their hands after handling animals	
Other times children must wash their hands: N/A	
State has requirements on the location and/or amount of hand washing facilities for children	✓
Additional requirements: N/A	

DIAPERING	<b>1</b>
State has requirements on diapering	Yes
State requirements specify when a staff person must change a child's diaper	<b>✓</b>
Staff person changing diaper must wear gloves	✓
State has requirements on discarding soiled diapers	✓
Diaper changing table and area must be sanitized after each use	✓
A sink must be available exclusively for the diapering area	✓
Diapering sink must not be used for food preparation	
Requirements on proximity of sink to diapering area: A hand washing sink next to the diaper changing surface for staff use when changing diapers and for washing a child during or after diapering	
Additional requirements: N/A	

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SMOKING POLICIES	<u>^</u>
State has requirements about smoking in centers	Yes
Smoking is not allowed in a child care center	
Smoking is not allowed on the grounds of a child care center	
Smoking is not allowed in the presence of children	
Smoking is not allowed in areas used for the care of children	
Smoking is not allowed in areas where food is prepared	
Smoking is not allowed in a vehicle while transporting children	
Smoking is allowed in a designated area	✓
Additional requirements: A licensee shall ensure that a staff member d use tobacco on facility premises except in designated areas separated f	

HAZARDOUS MATERIALS	<b>1</b>
State has requirements about the accessibility of hazardous supplies/materials	Yes
Hazardous supplies/materials must be kept out of the reach of children	✓
Additional requirements: N/A	

FIREARMS	<u> </u>
State has requirements about the presence of firearms in the facility	Yes
Firearms are not allowed in child care centers	✓
Firearms are allowed in child care centers, but must be in a locked container or closet	
Firearms are allowed in child care centers, but ammunition must be separate from the firearm	
Center must notify parents of the presence of firearms in the facility	
Additional requirements: N/A	

ANIMALS IN FACILITY	
State has requirements about animals in the facility	Yes
Animals are allowed in child care centers	✓
Certain animals are prohibited in child care centers	✓
State has requirements about the care and health of animals in child care centers	<b>√</b>
Centers must notify parents of the presence of animals	
Additional requirements: N/A	

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