## Data Profile for State Licensing Programs and Policies

#### Click on link to go to information on the state's licensing program:

- State Licensing Agency
- Number of Licensed Facilities
- Licensing Staff
- Number of Licensing Staff
- Types of Inspections
- Frequency of Inspections
- Frequency of Licensing

- Inspections and Monitoring
- Complaint Investigations
- Enforcement Actions
- Access to Licensing Information
- Licensing Fees
- Licensing Staff Requirements
- Quality Initiatives

#### STATE LICENSING AGENCY

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LICENSING AGENCY CONTACT INFORMA	LICENSING AGENCY CONTACT INFORMATION			
Agency name: Alabama Department of Hu	man Resources			
Address: Child Care Services Division				
Gordon Persons Building				
50 Ripley Street				
City: Montgomery	State: AL	<b>Zip:</b> 36130-1801		
<b>Phone:</b> 334-242-1425	<b>Toll Free</b> : 866-528-1694			
Fax: 334-353-1491	E-mail:			
Web site: http://www.dhr.state.al.us/page.asp?pageid=648				

### NUMBER OF LICENSED FACILITIES

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NUMBER OF LICENSED FACILITIES	
Child care centers	1,372
Family child care homes	1,272
Large/group family child care homes	450
Other types of licensed facilities:	0
Total number of licensed facilities	3,094
Data notes:	

✓ = Yes  NARA = National Association for Regulatory Administration  CIC = National Child Care Information and Technical  Assistance Center  N/A = Not Applicable/Not Addressed	Key:	
Assistance Center	✓ = Yes	NARA = National Association for Regulatory Administration
	♠ = Click to go back to top	NCCIC = National Child Care Information and Technical
FCC = Family child care N/A = Not Applicable/Not Addressed		Assistance Center
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LICENSED CAPACITY	
Child care centers	76,029
Family child care homes	7,632
Large/group family child care homes	5,400
Other types of licensed facilities:	0
Total licensed capacity	89,061
Data notes:	

### LICENSING STAFF

	<u> </u>
LICENSING LINE STAFF ASSIGNMENT	
Line staff assignments to inspect child care facilities:	
Assigned to inspect only child care centers	✓
Assigned to inspect only family child care homes	✓
Assigned to inspect both centers and family child care homes	
Assigned to inspect child care facilities and other human service programs for children	
Assigned to inspect child care facilities and other human service programs for children and/or adults	
Assignments vary by county or area of the state	✓
Assigned specifically to conduct complaint investigations	
Other type of assignment:	
Data notes:	

### NUMBER OF LICENSING STAFF

	<u> </u>
NUMBER OF LINE STAFF	
Total number of full-time equivalent line staff	23
<b>Data notes:</b> Data are not available on number of staff, supervisors, caseload, etc., for family and group day care homes. These settings are licensed by each county department and are not under supervision of the Alabama Department of Human Resources.	

LINE STAFF ESTIMATED CASELOAD		
Estimated caseload ratio (number of facilities per staff)	60:1	
Data notes: The caseload was calculated by NARA/NCCIC by div	o .	
of licensed programs by the total number of licensing line staff. The ratio is based on the		
total number of child care centers, since Alabama did not report	the number of line staff	
assigned to family child care homes		

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NUMBER OF SUPERVISORS		
Total number of full-time equivalent licensing supervisors	4	
Data notes: Data are not available on number of staff, supervisors, caseload, etc., for		
family and group day care homes. These settings are licensed by each county		

**Data notes:** Data are not available on number of staff, supervisors, caseload, etc., for family and group day care homes. These settings are licensed by each county department and are not under the supervision of the Alabama Department of Human Resources.

### TYPES OF INSPECTIONS

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Type of Inspection Conducted	Child Care Centers	Family Child Care Homes	Large/Group Family Child Care Homes
Prior to issuing a license	✓	✓	✓
Announced	✓	✓	✓
Unannounced			
Data notes:			,
License renewal	✓	✓	✓
Announced			
Unannounced	✓	✓	✓
Data notes:			
Routine compliance	✓	✓	✓
Announced			
Unannounced	✓	✓	✓
Data notes:	•	•	

### FREQUENCY OF INSPECTIONS



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FREQUENCY OF REQUIRED INSPECTION VISITS		
Type of Facility	Frequency of Inspection Visits	
Child care centers	Once every two years	
Family child care homes	Once every two years	
Large/group family child care homes	Once every two years	
Other frequency of inspection:		
Data notes:		

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### FREQUENCY OF LICENSING

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LENGTH OF LICENSE	
Type of Facility	Length of License
Child care centers	Two years
Family child care homes	Two years
Large/group family child care homes	Two years
Other length of license:	
Data notes:	

### INSPECTIONS AND MONITORING



FULL COMPLIANCE REVIEW		
Type of Facility	Frequency of Full Compliance Review	
Child care centers	Once every two years	
Family child care homes	Once every two years	
Large/group family child care homes	Once every two years	
Data notes:		

ABBREVIATED COMPLIANCE FORM (Note: An abbreviated compliance form is a tool used during inspections that has an abbreviated list of the requirements on it, not all requirements. Sometimes called an "indicator checklist.")  Abbreviated compliance form used for inspections		
Child care centers	Yes	
Use of form described: Annual inspection, interim year between li	cense renewals.	
Family child care homes	No	
Use of form described:		
Large/group family child care homes	No	
Use of form described:		
Data notes:		
State has policies on when to switch from abbreviated comfull compliance review	pliance review to a	
Child care centers	No	
Family child care homes	No	
Large/group family child care homes	No	
Data notes:		

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<b>DIFFERENTIAL MONITORING</b> (Note: Differential monitoring is the frequency and/or depth of monitoring inspectivel of compliance with regulations. May also be called "risk assessment monitoring inspectivel" of compliance with regulations.	3	
System of differential monitoring based on compliance records used for		
inspections		
Child care centers	No	
System of assessing compliance and differential monitoring	ng:	
Family child care homes	No	
System of assessing compliance and differential monitoring	ng:	
Large/group family child care homes	No	
System of assessing compliance and differential monitoring:		
Data notes:		

TECHNICAL ASSISTANCE AND CONSULTATION				
Technical assistance/consultation provided to help licensees achieve compliance with licensing regulations				
Child care centers				Yes
Family child care homes				Yes
Large/group family child care homes				Yes
Technical assistance/consultation minimum licensing regulations	n provided to he	Ip license	es mo	ve beyond
Child care centers				No
Family child care homes				No
Large/group family child care homes			No	
Data notes:				
Means of providing technical assistance/consultation	Child Care Centers	Family Care Ho		Large/Group Family Child Care Homes
During all inspection visits	✓	✓		✓
During application visits				
During routine inspection visits				
During renewal inspection visits				
On the telephone			✓	
As needed by licensee	ded by licensee ✓ ✓			✓
Other:				
Data notes:				

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Referrals made to other agencies/organizations/individuals for technical		
assistance		
Child care centers	✓	
Family child care homes	✓	
Large/group family child care homes	✓	
Agencies, organizations, or individuals used for technical assistance referrals: Referrals are made to the fire marshal, health department, early intervention services, training agencies, and others, as needed.		

DATA AUTOMATION		
Basic licensing data is automated No		
Data notes:		
Portable computers and inspection software used for inspections		
Child care centers	No	
Family child care homes	No	
Large/group family child care homes	No	
Data notes:		
Other tools used for inspections and monitoring:	No	

### **COMPLAINT INVESTIGATIONS**

	<u> </u>
INVESTIGATIONS	
Licensing agency conducts complaint investigations	
Child care centers	Yes
Family child care homes	Yes
Large/group family child care homes	Yes
Data notes:	·
State uses same staff who conduct inspections for inition compliance to conduct complaint investigations	ial licensure and routine
Child care centers	Yes
Family child care homes	Yes
Large/group family child care homes	Yes
State has separate staff who only work on complaint in	nvestigations
Child care centers	No
Family child care homes	No
Large/group family child care homes	No

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State uses other complaint investigation staff				
Child care centers	No			
Family child care homes	No			
Large/group family child care homes	No			
Other complaint investigation staff described:				
Data notes:				
State investigates anonymous complaints				
Child care centers	Yes			
Family child care homes	Yes			
Large/group family child care homes	Yes			
Data notes:				

NUMBER OF COMPLAINTS						
Number of Licensing Complaints	Child Care Centers	Family Child Care Homes	Large/Group Family Child Care Homes	Total		
Number of licensing complaints filed						
Number of substantiated licensing complaints						

**Data notes:** Data on complaints are not available. There is no automated system.

*Nature of licensing complaints:* Data are not available. In general, complaints are received on child-staff ratios, inadequate supervision, food programs, and inappropriate discipline.

CHILD ABUSE AND NEGLE	СТ					
Agencies that investigate child abuse and neglect complaints against child care centers and family child care homes						
Licensing agency						
Protective services					✓	
Specialized unit for child abu	se and negled	t				
Other agency:						
Data notes:						
Number of child abuse and neglect complaints	Child Care Centers	Family Child Care Homes	Fami	e/Group ily Child Homes	Total	
Number of child abuse and neglect complaints filed						
Number of substantiated child abuse and neglect complaints						
Data notes: Data not availa	ble.					

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#### **ENFORCEMENT ACTIONS**

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Enforcement Actions	Child Care Family Child Centers Care Homes				/ Child	
	Used	Num.	Used	Num.	Used	Num.
Revocation of license	<b>✓</b>	0	✓	0	✓	0
Denial of license	✓	0	✓	0	✓	0
Immediate closure of facility	<b>✓</b>	0	✓	0	✓	0
Non-renewal of license	✓	0	✓	0	✓	0
Probation	✓	0	✓	0	✓	0
Conditional license						
Consent agreement						
Civil fine						
Criminal fine						
Imprisonment						
Other enforcement actions: Suspension of part of a program, such as transportation	~	0	<b>✓</b>	0	<b>√</b>	0

**Data notes:** Data are not available.

Legal representation for licensing agency: We have access to Assistant Attorney General AAG) through the Department of Law. If needed, the licensing program is represented at administrative hearings by the AAG.

#### ACCESS TO LICENSING INFORMATION



LICENSING INFORMATION ON THE INTERNET	<del></del>
Licensing inspection reports are available on the Internet for parent and public access	No
Data notes:	
Complaints against facilities are included in licensing reports on the Internet	N/A
Data notes:	

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 $\checkmark$  = Yes NARA = National Association for Regulatory Administration  $\triangle$  = Click to go back to top NCCIC = National Child Care Information and Technical

Assistance Center

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### LICENSING FEES

			<b>1</b>
LICENSING FEES CHARGED			
Fees are charged for a license			
Child care centers			No
Family child care homes			No
Large/group family child care homes			No
Data notes:			
Type of Licensing Fee	Child Care Centers	Family Care Ho	Large/Group Family Child Care Homes
Flat fee for all child care facilities			
Fee based on maximum number of children in facility			
Other type of fee:			
Data notes:			
Amount of Licensing Fee	Child Care Centers	Family Care Ho	Large/Group Family Child Care Homes
Flat fee			
Fee based on maximum number of children in facility			
Other type of fee:			
Data notes:			

### LICENSING STAFF REQUIREMENTS

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LICENSING STAFF POSITION	
Name of licensing line staff position	Child Development Consultant

STAFF QUALIFICATIONS			
Minimum entry-level qualifications for child care licensing line staff			
Master's degree			
Bachelor's degree	✓		
Associate's degree			
College-level certification program or credential			
Some college education			
Certification program or credential (not college-level)			
High school diploma or GED			

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Experience working with children in the early care and education field		
No required educational qualifications		
Other qualifications:		
Data notes:		
Content and experience requirements		
Degrees and/or courses must be in early childhood education, child development, or a related field	Yes	
<b>Data notes:</b> For licensing staff only, three years of experience in child care licensing may be accepted.		
Content areas or majors accepted: Child development, early childhood education, and other degrees may be accepted with three years experience in child care licensing.		
Experience working in a setting with children required prior to working as a licensor	No	
<b>Data notes:</b> This is not required, but is preferred; it may increase rank on employment register.		

STAFF TRAINING		
Annual training requirements		
Additional training required each year	No	
Data notes:	•	
Amount of required training:		
Topics covered in training		
Regulatory issues		
State's regulations		
Health and safety issues		
Identifying child abuse and neglect		
State's licensing policies and procedures		
Fire safety		
Early childhood education/child development		
Adult development		
Business administration/management		
Supervision		
Other training topics:		
Data notes:		
Sources of training for child care licensing line staff		
Licensing agency	✓	
Community-based organization		
Local/state conferences	✓	
National conferences	✓	
Outside consultants		
College/university		
No sources of training		

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Do not know	
Other training sources:	
Data notes:	

FUNDING TO SUPPORT LICENSING STAFF		
Sources of funding to hire and support child care licensing staff		
General state funds	✓	
Child Care and Development Fund (CCDF)	✓	
Temporary Assistance for Needy Families (TANF)	✓	
Social Services Block Grant (SSBG)		
Other federal funds:		
Private funds		
Licensing fees		
Do not know		
Other funding sources:		
Data notes:		

### **QUALITY INITIATIVES**

	<u> </u>	
LICENSING AGENCY'S INVOLVEMENT IN QUALITY IMPROVEMENT INITIATIVES		
Tiered quality strategy		
Lead agency		
Initiative in same agency as licensing	✓	
Licensing participates in planning		
Licensing not involved		
State does not have initiative		
Data notes:		
Accreditation facilitation project		
Lead agency		
Initiative in same agency as licensing		
Licensing participates in planning		
Licensing not involved	✓	
State does not have initiative		
Data notes: quality contracts		

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Professional development system		
Lead agency		
Initiative in same agency as licensing		
Licensing participates in planning	✓	
Licensing not involved		
State does not have initiative		
Data notes:		
Assessing quality with environment rating scale		
Lead agency		
Initiative in same agency as licensing		
Licensing participates in planning		
Licensing not involved		
State does not have initiative	✓	
<b>Data notes:</b> The state has considered various rating systems to assess quality, but is not using a quality rating system at this time.		
Other quality initiative		
Lead agency		
Initiative in same agency as licensing		
Licensing participates in planning		
Licensing not involved		
State does not have initiative		
Data notes:		

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## Data Profile for State Child Care Center Staff Qualifications

#### Click on link to go to information:

#### STAFF QUALIFICATIONS FOR CENTER ROLES

- Directors
- Master Teachers
- Teachers
- Assistant Teachers
- Aides

#### STAFF QUALIFICATIONS FOR DIRECTORS



EDUCATION AND EXPERIENCE REQUIREMENTS FOR DIRECTORS	
State regulates role	Yes
State requires education and/or experience for role	Yes
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work	4
experience.)	

**Qualification alternative #1:** One hundred and twenty (120) clock hours of training in child care. Training in child care shall include at least twenty (20) clock hours in each of these areas:

- (a) child development;
- (b) health, safety and universal precautions;
- (c) quality child care and licensing;
- (d) the child care professional and the family;
- (e) language development; and
- (f) positive discipline and guidance.

In addition, all directors must complete at least twenty (20) clock hours of training in administration and management and at least four (4) clock hours of training in quality child care.

Time frame for requirements:	
Preservice	✓
Orientation to role/initial hiring period	

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_	Center
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During a certain period of time: N/A	
Experience requirements:	
Amount of experience required	12 months

 Qualification alternative #2: Child development associate credential (CDA) or a certified child care professional certificate (CCP) from the National Child Care Association (NCCA). In addition, all directors must complete at least twenty (20) clock hours of training in administration and management and at least four (4) clock hours of training in quality child care.

 Time frame for requirements:

 Preservice
 ✓

 Orientation to role/initial hiring period
 ✓

 During a certain period of time:
 Experience requirements:

 Amount of experience required
 12 months

 Qualification alternative #3: Associate's degree in child development or early childhood education from a post-secondary school (technical, vocational, junior college). In addition, all directors must complete at least twenty (20) clock hours of training in administration and management and at least four (4) clock hours of training in quality child care.

 Time frame for requirements:

 Preservice
 ✓

 Orientation to role/initial hiring period
 ✓

 During a certain period of time:
 Experience requirements:

 Amount of experience required
 9 months

 Qualification alternative #4: Bachelor's degree in child development or early childhood education. In addition, all directors must complete at least twenty (20) clock hours of training in administration and management and at least four (4) clock hours of training in quality child care.

 Time frame for requirements:

 Preservice
 ✓

 Orientation to role/initial hiring period
 ✓

 During a certain period of time:
 Experience requirements:

 Amount of experience required
 6 months

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Types of training, credentials, and degrees included in qualification alternatives:			
Clock hours	✓	Bachelor's degree	
Credit hours		Bachelor's degree or higher	
Child Development Associate (CDA) credential	<b>✓</b>	Bachelor's degree in a related field: N/A	
State credential		Bachelor's degree in early care and education	<b>✓</b>
Infant and toddler credential		Bachelor's degree in early care and education or higher	
Director credential		Master's degree	
Other state credentials: N/A		Master's degree in a related field: N/A	
Associate's degree		Master's degree in early care and education	
Associate's degree in a related field: N/A	<b>√</b>	PhD or advanced degree	
Associate's degree in early care and education		Teaching certificate/license	

State has additional requirements for experience to qualify for role	Yes
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	✓
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional development system (i.e., enroll in a practitioner registry)	No

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## STAFF QUALIFICATIONS FOR MASTER TEACHERS

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EDUCATION AND EXPERIENCE REQUIREMENTS FOR MASTER TEACHERS		
State regulates role N		No
State requires education and/or experience for role		No
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)		0
Types of training, credentials, an	d degrees included in qualificati	on alternatives:
Clock hours	Rachelor's degree	

Types of training, credentials, and degrees included in qualification alternatives:			
Clock hours	Bachelor's degree		
Credit hours	Bachelor's degree or higher		
Child Development Associate (CDA) credential	Bachelor's degree in a related field: N/A		
State credential	Bachelor's degree in early care and education		
Infant and toddler credential	Bachelor's degree in early care and education or higher		
Director credential	Master's degree		
Other state credentials: N/A	Master's degree in a related field: N/A		
Associate's degree	Master's degree in early care and education		
Associate's degree in a related field: N/A	PhD or advanced degree		
Associate's degree in early care and education	Teaching certificate/license		

State has additional requirements for experience to qualify for role	No
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

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Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional	No
development system (i.e., enroll in a practitioner registry)	

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### STAFF QUALIFICATIONS FOR TEACHERS

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EDUCATION AND EXPERIENCE REQUIREMENTS FOR TEACHERS	
State regulates role	Yes
State requires education and/or experience for role	Yes
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)	1

Qualification alternative #1: At least twelve (12) clock hours of training in child care and development through participation in workshops, meetings, videotapes, or one-to-one consultation. Training in child care shall include at least one (1) hour in each of these areas: (1) child development; (2) health, safety and universal precautions; (3) quality child care and licensing; (4) the child care professional and the family; (5) language development; (6) positive discipline and guidance. Time frame for requirements: Preservice Orientation to role/initial hiring period During a certain period of time: Within thirty (30) days after employment **Experience requirements:** Amount of experience required N/A

Types of training, credentials, and degrees included in qualification alternatives:			
Clock hours	✓	Bachelor's degree	
Credit hours		Bachelor's degree or higher	
Child Development Associate (CDA) credential		Bachelor's degree in a related field: N/A	
State credential		Bachelor's degree in early care and education	
Infant and toddler credential		Bachelor's degree in early care and education or higher	
Director credential		Master's degree	
Other state credentials: N/A		Master's degree in a related field: N/A	
Associate's degree		Master's degree in early care and education	
Associate's degree in a related field: N/A		PhD or advanced degree	

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Associate's degree in early care and	Teaching certificate/license	
education		

State has additional requirements for experience to qualify for role	No
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional development system (i.e., enroll in a practitioner registry)	No

Key:	
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## STAFF QUALIFICATIONS FOR ASSISTANT TEACHERS

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EDUCATION AND EXPERIENCE QUALIFICATIONS FOR ASSISTANT TEACHERS		
State regulates role Yes		
State requires education and/or experience for role	Yes	
Number of qualification alternatives for role	1	
(Note: Qualification alternatives are different ways to qualify for roles, usually		
by completing some type of education or training and/or having work		
experience.)		

<b>Qualification alternative #1:</b> At least an 8 <sup>th</sup> grade education		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	N/A	

Types of training, credentials, and degrees included in qualification alternatives:		
Clock hours	Bachelor's degree	
Credit hours	Bachelor's degree or higher	
Child Development Associate (CDA) credential	Bachelor's degree in a related field: N/A	
State credential	Bachelor's degree in early care and education	
Infant and toddler credential	Bachelor's degree in early care and education or higher	
Director credential	Master's degree	
Other state credentials: N/A	Master's degree in a related field: N/A	
Associate's degree	Master's degree in early care and education	
Associate's degree in a related field: N/A	PhD or advanced degree	
Associate's degree in early care and education	Teaching certificate/license	

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State has additional requirements for experience to qualify for role	No
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional development system (i.e., enroll in a practitioner registry)	No

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### STAFF QUALIFICATIONS FOR AIDES

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EDUCATION AND EXPERIENCE QUALIFICATIONS FOR AIDES	
State regulates role	Yes
State requires education and/or experience for role	Yes
Number of qualification alternatives for role (Note: Qualification alternatives are different ways to qualify for roles, usually by completing some type of education or training and/or having work experience.)	1

Qualification alternative #1: At least an 8th grade education		
Time frame for requirements:		
Preservice	✓	
Orientation to role/initial hiring period		
During a certain period of time:		
Experience requirements:		
Amount of experience required	N/A	

Types of training, credentials, and degrees included in qualification alternatives:		
Clock hours	Bachelor's degree	
Credit hours	Bachelor's degree or higher	
Child Development Associate (CDA) credential	Bachelor's degree in a related field: N/A	
State credential	Bachelor's degree in early care and education	
Infant and toddler credential	Bachelor's degree in early care and education or higher	
Director credential	Master's degree	
Other state credentials: N/A	Master's degree in a related field: N/A	
Associate's degree	Master's degree in early care and education	
Associate's degree in a related field: N/A	PhD or advanced degree	
Associate's degree in early care and education	Teaching certificate/license	

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State has additional requirements for experience to qualify for role	Yes
Experience must be from working with children	
Experience must be with a specific age group of children	
Experience must be in a specific setting	
Experience must be in an accredited center or family child care home	
Experience must be supervised	✓
Experience must be verified by employer/supervisor	
Experience must be from continuous employment	
Experience as a parent can count to qualify for role	

Qualifications are linked to the state's early care and education professional development system	No
Links to system: N/A	
Center staff are required to participate in the state professional development system (i.e., enroll in a practitioner registry)	No

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### **Data Profile for State Child Care Center Regulations**

#### Click on link to go to information:

#### LICENSING REGULATIONS

Child Care Center Regulations
 Summary

#### **DEFINITION OF LICENSED CHILD CARE/DAY CARE CENTERS**

- Definition of Licensed Centers
- Exemptions from Licensing

#### **CENTER STAFF REQUIREMENTS**

- Staff Roles
- Age and High School Diploma Requirements
- Other Roles in Regulations
- Hiring Policies
- Staff Health Requirements

- Background Checks
- Orientation Training
- Health and Safety Training
- Ongoing Training

#### **CHILD-STAFF RATIOS AND GROUP SIZE**

- Child-Staff Ratios and Group Size
  By Age of Children
- Mixed-Age Groups
- Additional Requirements

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#### SUPERVISION OF CHILDREN

- **General Supervision Requirements**
- **Supervision of Children During Naptime**
- Supervision During **Evening/Overnight Care**
- **Supervision of Children During Outdoor Play**
- **Supervision of Children During Large Group Activities**
- **Supervision of Children** Swimming/Participating in Water **Activities**
- Supervision of Children **Transported in Vehicles**
- Supervision of Children During **Field Trips**

#### CARE OF CHILDREN

- **Age Definitions**
- **Children's Health Requirements**
- **Nutrition**
- **Behavior Guidance and Discipline**
- **Program and Activities**
- **Parent Involvement**

- Specialized Care Infant and **Toddler**
- Specialized Care School-Age
- Specialized Care Mildly III Children
- Specialized Care -**Evening/Overnight Care**
- **Specialized Care Drop-In Care**

#### FACILITY REQUIREMENTS

- **Environment**
- **Square Footage**
- Condition of Facility and **Equipment**
- Safety of Facility And Equipment
- **Outdoor Space**
- Fire Safety
- **General Emergency Plans**
- **Emergency Preparedness**
- Security
- **Transportation**
- **Liability Insurance**

- **Administration of Medication**
- **Medical Procedures**
- Care of Mildly III Children
- **Incident Reporting**
- **Additional Health Requirements**
- **Hand Washing**
- **Diapering**
- **Smoking Policies**
- **Hazardous Materials**
- **Firearms**
- **Animals in Facility**

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### LICENSING REGULATIONS

	<u>T</u>	
CHILD CARE CENTER REGULATIONS SUMMARY		
	<u> 1</u>	
Name of regulations: State of Alabama Minimum Standards for Day Care Centers and		
Nighttime Centers Regulations and Procedures		
Date of regulations: 01/22/2001		
State has separate regulations for the following types of care:		
School-age care programs		
Infant and toddler care programs		
Programs for mildly ill children		
Evening/overnight care programs		
Drop-in child care programs		
Other programs: N/A		

# DEFINITION OF LICENSED CHILD CARE/DAY CARE CENTERS

	<u> </u>		
DEFINITION OF LICENSED CENTERS			
State has definition of "child care center" or "day care center"	Yes		
Text of definition: Day Care Center: Any child-care facility receiving more than 12 children for daytime care during all or part of a day. The term "day care center" includes, but is not limited to, facilities commonly called "child-care centers", "day nurseries", "nursery schools", "kindergartens", and "play groups", with or without stated educational purposes. The term further includes, but is not limited to, kindergarten or nursery schools or other daytime programs operated as a part of a private school and receiving children younger than lawful school age for daytime care for more than 4 hours a day, with or without stated educational purposes.			
State has definition of "child care facility" or "day care facility"	Yes		
Text of definition: Facility for Child Care or Child Care Facility: A facility established by any person, group of persons, agency, association or organization, whether established for gain or otherwise, who or which receives or arranges for care or placement of one or more children, unrelated to the operator of the facility, apart from the parents, with or without the transfer of the right of custody, in any facility as defined in this chapter, established and maintained for the care of the children.			

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Elements included in state's definition of a licensed child care  Minimum number of children	✓
Minimum age of children	
Maximum age of children	
Services are provided for less than 24 hours or any part of a 24-hour day	✓
Minimum number of hours services are provided	✓
Maximum number of hours services are provided	
Services are provided on an ongoing/regular basis	
Services are provided on a scheduled basis	
Services are provided before or after school hours	
Services are provided at the parent's work site	
Parent is not present at work site	
Provider and children are not related by blood, marriage, and/or adoption	✓
Children are not from provider's immediate family; provider is not parent/guardian or custodian of children	
Children are not from one family (siblings)	
Services are provided in a non-residential setting	
Services are not provided in provider's/licensee's home	
Services are not provided in child's home	
Services can be provided in a private facility	
Services can be provided in a public facility	
Services can be provided for profit	
Services can be provided not for profit	
Services can be provided for payment or compensation	✓
Data notes: N/A	

EXEMPTIONS FROM LICENSING			
State has defined types of programs that are exempt from licensing	Yes		
Characteristics of programs that are exempt from licensing:			
Maximum number of children in care to be exempt	N/A		
Number of hours child care services are provided to be exempt	4 or fewer hours per day		
Services are part-day	✓		
Services are provided irregularly/occasionally			
Program is a nursery school or preschool program			
Program is a kindergarten program			
Program is a Head Start program			

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Services are provided by a relative of the children in care			
State definition of "relative": N/A			
Services are provided by parent, guardian, or custodian of the			
Services are provided at no cost to the parents			
Services are provided with no compensation to the provider			
Program is a club (Boys and Girls Club, etc.)			
Program is a day camp (summer, seasonal, etc.)			
Services are for school-age children			
Program is a recreation program			
Program is instructional classes for children	<b>✓</b>		
Services are provided by a religious organization	✓		
Services are provided during religious services			
Program offers religious instruction			
Services are provided in a facility where the parent is on the	<b>✓</b>		
premises and accessible (not for employment)	·		
Casino			
Resort			
Conference Center			
Shopping mall	✓		
Bowling alley			
Health club			
Other programs where parents are on the premises: Facilities operated for more than 4 hours a day in connection with a shopping center or service or other similar facility, where transient children are cared for temporarily while parents or custodians of the children are occupied on the premises or are in the immediate vicinity and readily available.			
Services are "drop-in" care			
Services are provided by a preschool program approved by the state department of education			
Program is a preschool program operated by a public school or system	✓		
Program is a preschool program operated by a private school or system	✓		
Services provided by a public prekindergarten program			
Services provided on a military installation/base			
Facility is operated by a unit of local, state, or federal government	✓		
Facility is operated by a college/university			
Other exemptions:  • Kindergartens or nursery schools or other daytime programs	operated by public		

- Kindergartens or nursery schools or other daytime programs operated by public institutions of higher learning.
- Any type of day care center that is conducted on federal government premises.

Data notes: N/A

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### **CENTER STAFF REQUIREMENTS**

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STAFF ROLES		•
Role	Role Included in Regulations	State's Role Name
Director	Yes	Director
Master teacher	No	N/A
Teacher	Yes	Child care worker/teacher
Assistant teacher	Yes	Assistant teacher
Aide	Yes	Aide

AGE AND HIGH SCHOOL DIPLOMA REQUIREMENTS			
Role	Minimum Age Requirement	Other Age Requirements	High School Diploma or GED Required
Director	19	N/A	HS diploma or GED required
Master teacher	N/A	N/A	N/A
Teacher	19	N/A	HS diploma or GED required
Assistant teacher	16	N/A	Not required
Aide	16	N/A	Not required
Additional requirements: N/	Ά		

OTHER ROLES IN REGULATIONS			
Role	Included in Regulations	Minimum Age Requirement	Other Requirements
Substitute	<b>*</b>	N/A	A substitute staff person shall meet all qualifications of staff for whom he/she is substituting.
Volunteer		N/A	N/A
Other roles: Driver	<b>~</b>	19	The driver of a center vehicle transporting children shall be at least 19 years of age and have a valid driver's license.

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HIRING POLICIES		<b>1</b>
State requirements on reference checks	Staff	Director
References are required when hiring center staff	✓	✓
References must be from certain people	✓	
Non-relative	✓	
Professional acquaintance		
Previous employer	✓	
Type of references required (written, verbal, or both)	Written	Not specified
Additional requirements: N/A	<u>.</u>	

STAFF HEALTH REQUIREMENTS	_
Center facility records must include health reports on staff	<u>↑</u>
Center staff required to have a physical exam or to provide health statement from a physician	Yes
Required at hiring	✓
Required annually or at another time interval	✓
Required for staff having contact with children	
Required for staff having contact with food	
Center staff required to have tuberculosis screening	Yes
Required at hiring	✓
Required annually or at another time interval	
Required for staff having contact with children	
Required for staff having contact with food	
Center staff required to provide proof of immunizations	No
Required at hiring	
Required annually or at another time interval	
Required for staff having contact with children	
Required for staff having contact with food	
Center staff must meet additional requirements regarding their health	Yes
Center staff required to provide proof of suitability to provide care to children	<b>✓</b>
Center staff required to have a drug, alcohol, and/or substance screening	

Additional requirements: A staff person who, upon observation or examination or as a result of tests, shows indication of a physical, emotional, or mental condition which could be detrimental to the children or staff, or which would prevent satisfactory performance of duties, shall not continue work at the center until the staff person provides a written statement from a licensed practicing medical doctor indicating that the staff person is able to return to work.

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BACKGROUND CHECKS	
State requires check of criminal history records for center staff	Yes
State criminal history record check required	✓
Federal criminal history record check required	✓
Required for all center staff	✓
Required for center staff having contact with children	
Required for center staff under certain conditions (i.e., lived in	
state a certain amount of time)	
Required for volunteers	
Required for staff not working with children (i.e., cook, janitor, bus)	
driver, etc.)	
State requires check of child abuse and neglect registry for	Yes
<ul><li>center staff</li><li>Required for all center staff</li></ul>	✓
•	<b>Y</b>
Required for center staff having contact with children	
Required for center staff under certain conditions (i.e., lived in state a certain amount of time)	
state a certain amount of time)  Required for volunteers	
<ul> <li>Required for staff not working with children (i.e., cook, janitor, bus</li> </ul>	
driver, etc.)	
State requires checks of fingerprint records for center staff	Yes
State fingerprint record check required	✓
Federal fingerprint record check required	
Required for all center staff	✓
Required for center staff having contact with children	
Required for center staff under certain conditions (i.e., lived in	
state a certain amount of time)	
Required for volunteers	
<ul> <li>Required for staff not working with children (i.e., cook, janitor, bus driver, etc.)</li> </ul>	
State requires checks of the sex offender registry	No
State requires center staff to sign a statement about criminal status	
Additional manufactures to Original plates and background in forms of the same background.	

Additional requirements: Criminal history background information checks shall be performed by the Alabama Department of Public Safety. National criminal history background checks shall be requested by the Department of Public Safety from the Federal Bureau of Investigation. Criminal history background reports shall be sent to the Department of Human Resources.

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ORIENTATION TRAINING	Φ.
Center staff required to complete orientation training	No
Required orientation training is about the facility's policies and procedures	
Required orientation training is about state's licensing regulations	
License applicant required to complete orientation training during the application process	
Licensee required to complete orientation training	
Only center director required to complete orientation training	
Center staff working directly with children required to complete orientation training	
Orientation training can count toward ongoing hour requirements for the first year of employment	
Center must develop and/or provide orientation training to new employees and volunteers	
Orientation training is developed and delivered by the licensing agency	
Required content for orientation training: N/A	
Additional requirements: N/A	

HEALTH AND SAFETY TRAINING	<b>^</b>
Center staff required to complete first aid training	Yes
Current certification in first aid training required	✓
First aid training must be for the care of infants and/or children	
Center staff working directly with children required to complete first aid training	
At least one person on duty required to complete first aid training	✓
At least one person for each group of children required to complete first aid training	
Center staff required to complete CPR training	Yes
Current certification in CPR training required	✓
CPR training must be for the care of infants and/or children	✓
Center staff working directly with children required to complete CPR training	
At least one person on duty required to complete CPR training	✓
At least one person for each group of children required to complete CPR training	
Center staff required to complete fire safety training	No
Fire safety training must be taught by a fire prevention professional	
Current certification in fire safety training required	
All center staff required to complete fire safety training	

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Other types of health and safety training required		
Hand washing techniques and indications for hand washing		
Sudden Infant Death Syndrome prevention		
Lifeguard training	✓	
Water safety		
Prevention of spread of HIV/AIDS and blood borne pathogens		
Care of sick children		
Prevention of spread of communicable disease/universal precautions	✓	
Administration of medication		
Additional health and safety training: N/A		

ONGOING TRAINING	
ONGOING TRAINING	<b>↑</b>
Ongoing training required for directors	Yes
Number of hours required annually	24
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: N/A	
Ongoing training required for master teachers	No
Number of hours required annually	
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: N/A	<u>.</u>
Ongoing training required for teachers	Yes
Number of hours required annually	12
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: N/A	
Ongoing training required for assistant teachers	Yes
Number of hours required annually	12
Number of hours required bi-annually	
Number of hours averaged over two years	
Number of credit hours	
Other: N/A	
Ongoing training required for aides	Yes
Number of hours required annually	12
Number of hours required bi-annually	

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Number of hours averaged over two years	
Number of credit hours	
Other: N/A	
	Yes
Ongoing training hours required in specific content areas for center directors	res
Content of ongoing training hours:	
(1) child development	
(2) health, safety and universal precautions	
(3) quality child care and licensing	
(4) the child care professional and the family	
(5) language development (6) positive discipline and guidance	
Ongoing training hours required in specific content areas for	Yes
teaching staff	163
Content of ongoing training hours:	
(1) child development	
(2) health, safety and universal precautions	
(3) quality child care and licensing	
(4) the child care professional and the family	
(5) language development	
(6) positive discipline and guidance	
Types of training accepted/approved for ongoing training	No
hours are specified	
Job orientation	
College credit hours	
Non-credit coursework	
Training provided by organizations approved by state training approval system	
Training provided by organizations licensed or certified professionally	
competent in the training topic	
Other: N/A	
Delivery methods accepted/approved for ongoing training hours are specified	No
Adult education courses	
Training provided by an approved or accredited secondary	
institution/vocational school	
Training provided by an approved or accredited institution of higher	
education	
Audio-visual materials/videos/reading materials	
Conference workshops	
Community-based workshops	
Distance learning methods (Internet, video conferences, etc.)	
In-service training delivered by center director	
Other: N/A	

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### **CHILD-STAFF RATIOS AND GROUP SIZE**

		<u> </u>
CHILD-STAFF RATIOS AND GROUP SIZE BY AGE OF CHILDREN		
Age of Children	Child-Staff Ratio	Group Size
6 weeks	4:1	Not regulated
9 months	4:1	Not regulated
18 months	6:1	Not regulated
27 months	7:1	Not regulated
3 years	10:1	Not regulated
4 years	16:1	Not regulated
5 years	16:1	Not regulated
6 years	20:1	Not regulated
7 years	20:1	Not regulated
8–9 years	20:1	Not regulated
10 years and older	20:1	Not regulated
Additional requirements: N/A		

MIXED-AGE GROUPS	<b>1</b>
State permits mixed-age groupings of children	Yes
Child-staff ratio requirements for mixed-age groups: When children of different ages are grouped together (multiple age grouping), staff-child ratio shall be according to the age of the youngest child in the group if more than 20% of the children are in the youngest age category. If children in the youngest age category make up 20% or less of the group, staff-child ratio shall be according to the next highest age category.	
Group size requirements for mixed-age groups: N/A	
Additional requirements: N/A	

ADDITIONAL REQUIREMENTS	<b>^</b>	
State has a definition for "group"	No	
Text of definition: N/A		
State requires that each group of children has a designated space—either a separate room or delineated space in a large room		
State requirements on legally exceeding limits		
Centers allowed legally to exceed licensed capacity		
Conditions: N/A		
Centers allowed legally to exceed required child-staff ratios		
Conditions: N/A		

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Centers allowed legally to exceed required group size	
Conditions: N/A	
State has requirements for the supervision of children based on	No
the size of the center	
State has requirements for child-staff ratios based on the size of the	
center	
State has requirements for group size based on the size of the center	
Additional requirements: N/A	

### SUPERVISION OF CHILDREN

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GENERAL SUPERVISION REQUIREMENTS	
State has requirements for staff supervising children	Yes
Staff must be free of other duties	✓
Staff must be alert/awake	
Staff must be able to see children at all times	
Staff must be able to hear children at all times	
State has requirements related to supervision of children during	
emergencies	
State has requirements related to supervision and the use of	✓
substitutes for staff	
Centers are allowed to use video surveillance cameras or electronic	
monitors in lieu of direct supervision	
State has requirements related to the use of video surveillance	
cameras for parents to view their children while at the center	
Additional requirements: All children shall have staff supervision at all ti	
person shall be on duty with the children for more than 8 continuous hours, on a regular	

SUPERVISION OF CHILDREN DURING NAPTIME	•
State has requirements for the supervision of children during naptime	Yes
Staff person must be present in room where children are napping	✓
Staff must be able to see children	✓
Staff must be able to hear children	
State has requirements for the supervision of children during naptime that are delineated by the age of the child	
Infant and toddler	
Preschool	
School-age	
Staff person supervising during naptime must be free of other duties	

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Center must have written supervision plan for naptime	
State has specific child-staff ratio requirements for naptime	Yes
Requirements:	
0 up to 12 months: 4:1	
12 up to 18 months: 5:1	
18 months up to 2 ½ years: 12:1	
2 ½ years and older: 32:1	
State has specific group size requirements for naptime	No
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN DURING EVENING/OVERNIGHT CAR	RE
(see also Specialized Care – Evening/Overnight Care)	<b>^</b>
State has requirements for the supervision of children during	Yes
evening/overnight care	163
Staff person must be present in room where children are sleeping	✓
Staff must be able to see sleeping children	✓
Staff must be able to hear sleeping children	
Staff person supervising sleeping children must be free of other duties	
Staff must be awake	Awake at all times
Center must have written supervision plan for evening/overnight care	
State has specific child-staff ratio requirements for	Yes
State has specific child-staff ratio requirements for evening/overnight care	Yes
evening/overnight care Requirements:	Yes
evening/overnight care	Yes
evening/overnight care Requirements:	Yes
evening/overnight care  Requirements: 0 up to 18 months: 4:1	Yes
evening/overnight care  Requirements: 0 up to 18 months: 4:1 18 months up to 2½ years: 12:1	Yes
evening/overnight care  Requirements:  0 up to 18 months: 4:1  18 months up to 2½ years: 12:1  2½ years up to 4 years: 15:1  4 years and older: 20:1	
evening/overnight care  Requirements:  0 up to 18 months: 4:1  18 months up to 2½ years: 12:1  2½ years up to 4 years: 15:1	
evening/overnight care  Requirements:  0 up to 18 months: 4:1  18 months up to 2½ years: 12:1  2½ years up to 4 years: 15:1  4 years and older: 20:1  Required staff-child ratios and grouping for day care centers shall be many	
evening/overnight care  Requirements: 0 up to 18 months: 4:1 18 months up to 2½ years: 12:1 2½ years up to 4 years: 15:1 4 years and older: 20:1 Required staff-child ratios and grouping for day care centers shall be machildren are awake.	nintained while the
evening/overnight care  Requirements:  0 up to 18 months: 4:1  18 months up to 2½ years: 12:1  2½ years up to 4 years: 15:1  4 years and older: 20:1  Required staff-child ratios and grouping for day care centers shall be machildren are awake.  State has specific group size requirements for	nintained while the

SUPERVISION OF CHILDREN DURING OUTDOOR PLAY	<u> </u>
State has requirements for the supervision of children during outdoor play	No
Staff person must be present outdoors with children	
Staff must be able to see children playing outdoors	
Staff must be able to hear children playing outdoors	
Center must have written supervision plan for outdoor play	

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State has specific child-staff ratio requirements for outdoor play	No
Requirements: N/A	
State has specific group size requirements for outdoor play	No
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN DURING LARGE GROUP ACTIVITIES	<b>1</b>
State has requirements for the supervision of children during large group activities	No
Staff person must be present with children during large group activities	
Staff must be able to see children during large group activities	
Staff must be able to hear children during large group activities	
Center must have written supervision plan for large group activities	
State has specific child-staff ratio requirements for large group activities	No
Requirements: N/A	
State has specific group size requirements for large group activities	No
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN SWIMMING/PARTICIPATING IN WA	ATER ACTIVITIES
State has requirements for the supervision of children when	Yes
swimming or participating in water activities	
Staff person must be present with children when swimming or	
participating in water activities	
Staff must be able to see children	
Staff must be able to hear children	
Written permission from parents required for swimming and water	✓
activities	
Center must have written supervision plan for swimming and water	
activities	
State has specific child-staff ratio requirements for swimming	Yes
and water activities	
Requirements: The staff-child ratio for children in the pool shall be:	
(a) 1 staff for each child younger than 2½ years of age	
(b) 1 staff for every 4 children ages 2½ years up to 4 years	
(c) 1 staff for every 6 children ages 4 years up to 6 years	
(d) 1 staff for every 10 children ages 6 years and older	

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State has specific group size requirements for swimming and water activities	No
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN TRANSPORTED IN VEHICLES	
(see also <u>Facility Requirements – Transportation</u> )	<b>^</b>
State has requirements for the supervision of children when	Yes
transported in a vehicle	
Children must not be left unattended in a vehicle	✓
Staff must supervise children when they board and exit a vehicle	✓
Attendance record of children must be kept	✓
Once vehicle is unloaded, additional checks for children remaining on board are conducted	<b>√</b>
Staff or driver must ensure that children are received by a parent or other designated person	<b>✓</b>
Driver is counted in child-staff ratio	✓
Written permission from parents required for transporting children	✓
Emergency contact information for children taken on vehicle	
Center must have written supervision plan for transporting children in a vehicle	
State has specific child-staff ratio requirements for	Yes
transporting children in a vehicle	103
Requirements: The staff-to-child ratios need not be followed during tran age children to and from the center. One staff member or adult is neces the driver when 16 or more preschool or younger children are being tranvehicle.	sary in addition to
State has specific group size requirements for transporting	No
children in a vehicle	
Requirements: N/A	
Additional requirements: N/A	

SUPERVISION OF CHILDREN DURING FIELD TRIPS	<b>1</b>
State has requirements for the supervision of children during	Yes
field trips	
Attendance record kept of children on field trip	<b>✓</b>
Additional staff/adults (volunteers, parents, driver) needed for field	
trips under certain conditions	
Written permission from parents required for field trips	
Emergency contact information for children taken on field trip	
Children are required to wear name tags or other identification	
Center must have written supervision plan for field trips	

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State has specific child-staff ratio requirements for field trips	No
Requirements: N/A	
State has specific group size requirements for field trips	No
Requirements: N/A	
Additional requirements: N/A	

#### **CARE OF CHILDREN**

	<u>T</u>
AGE DEFINITIONS	
Definition of "infant"	1
Definition of infant	
Text of definition: "Infant" is defined as a child younger than 12 months.	
Definition of "toddler"	
Text of definition: N/A	
Definition of "preschool-age"	
Text of definition: N/A	
Definition of "school-age"	
Text of definition: N/A	

CHILDREN'S HEALTH REQUIREMENTS	<b>1</b>
State requires children to have a physical exam to enroll in a center	No
Center must keep records of children's physical exams	
Health records must be provided to the center within a specific time frame after a child's enrollment	
State requires children to have immunizations to enroll in a	Yes
center	
Immunization records must be provided to the center within a specific	✓
time frame after a child's enrollment	
Parents/guardians can provide a written statement that they do not wish to have children immunized	
Health care professional can provide a written statement that children are exempt from immunization requirements for medical reasons	<b>~</b>
Center can exclude children from attendance until immunization records or exemption statements are provided	
Center can accept children on a conditional basis if not all immunizations are complete	
Center can refuse to enroll children of parents who do not wish to have their children immunized	
Additional requirements: On the child's first day of attendance, each chil age to lawful school age and each child of lawful school age who is not experience.	

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private school kindergarten shall have a valid State of Alabama Certificate of Immunization,		
on file in the center, unless one of the following is on file in the center: a valid State of		
Alabama Certificate of Medical Exemption; or a valid Alabama Certificate of Religious		
Exemption.		
Children are required to have a blood lead level screening to enroll in a		
center		
Center must keep emergency contact information in children's records	✓	
Additional requirements: N/A		

NUTRITION	Δ
State has requirements about nutrition and meals/snacks for	Yes
children	
State has requirements about the nutritional content of meals/snacks served to children	<b>√</b>
State has requirements about the number or time interval between the delivery of meals/snacks served to children	✓
Center must post a menu of meals/snacks served to children	✓
Center must provide all meals/snacks for children	✓
Parents/guardians can provide food for their own children on a regular basis	✓
Parents/guardians can bring food for all children for special occasions	
Center must provide supplemental food (to what parents provide) to	
meet nutritional content requirements	
State has requirements about feeding infants	✓
Additional requirements: N/A	

BEHAVIOR GUIDANCE AND DISCIPLINE	<u> </u>
State has requirements regarding behavior guidance and/or disciplining children	Yes
Specific forms of discipline/behavior guidance that centers ARE ALLOWED to use are in regulations	No
Supervised separation from the group	
<ul> <li>Physical/corporal punishment</li> <li>(Note: ✓ = state allows or it is not prohibited/addressed in regulations)</li> </ul>	
Other forms of discipline allowed: N/A	
Specific forms of discipline/behavior guidance that centers ARE NOT ALLOWED to use are in regulations	Yes
Denial of food/food-related punishment	<b>✓</b>
Derogatory remarks	✓
Abusive language	✓
Yelling or shouting/screaming	✓
Physical punishment	✓

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Forced napping/no nap/rest-related	
Physical/mechanical restraint	✓
Locked time-out room, closet, or dark room	
Punishment for toileting accident/toileting-related punishment	✓
Putting something in child's mouth	✓
Discipline/punishment by another child	✓
Other forms of discipline not allowed: N/A	
Center must have written policies on discipline and behavior guidance	
practices	

PROGRAM AND ACTIVITIES	<b>1</b>
Center must have a written daily schedule of activities	Yes
Center must post daily schedule	✓
Center must provide specific activities for children	Yes
Regular meal/snack times	✓
Nap or rest period	✓
Toileting and washing/hand washing	✓
Outdoor play	✓
Indoor play	✓
Child-initiated activities	
Staff-initiated activities	
Individual activities	
Group activities	
Large group activities	
Small group activities	
Active play	
Quiet play	
Free play	
Creative expression	
Gross motor activities	
Fine motor activities	

Other activities: Radio, television, and videotapes shall be used only when they supplement and enhance the daily plan for the children. No child shall be required to watch television or videotapes. All videotapes shall be previewed by a staff person to ensure their appropriateness for the age of the children. Sexually explicit, violent, or frightening materials are prohibited.

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Developmental needs of children must be addressed in activities		Yes
Area of Children's Development	Must Be Addressed in Activities	Regulations List Specific Activities
Social development		
Emotional development		
Cognitive/intellectual development		
Physical development		
Language/literacy development		
Cultural development		
Other areas of development: The staff shall permit including during meal and snack time. The staff shadaily, such as conversation between staff and child	ention to each child	
State has requirements for the specific types of		Yes
equipment/materials a center must have for of Books and other literacy materials	children	<b>✓</b>
Number of books required		•
Additional requirements about books: Center must	have 2 books per chi	Id
Art supplies/creative activities	nave 2 books per chi	iu. ✓
Blocks/construction materials		•
Sensory materials		
Fine motor manipulatives		<b>✓</b>
Gross motor equipment (indoor and/or outdoor)		•
		•
Dramatic play/pretend		, ,
Science/experimental/math (counting/sorting)		•
Technology/computers		<i>J</i>
Music		•
Other types of equipment required: N/A		
Additional requirements: N/A		

PARENT INVOLVEMENT	<u> </u>
State has requirements regarding parent involvement	No
Center must encourage parent involvement	
Center must provide opportunities for parent involvement	
Additional requirements: N/A	

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State has requirements about parents' access to the center	Yes
Center must provide access to parents at all times; no prior notice needed	<b>√</b>
Parents can have unsupervised access to all children or the whole facility	
Parents can have unsupervised access only to their own children	
Parents can have supervised access to all children	
State has requirements about the center's communication with parents	Yes
Center must provide written copies of policies and procedures to parents	<b>✓</b>
Center must keep logs of children's care and communicate with parents	
Center must hold regularly scheduled meetings with parents	
Center must have a resource area for parents	
Additional requirements: Staff shall be available for communication and the parent(s)/guardian(s) at a mutually convenient time.	conferences with

SPECIALIZED CARE – INFANT AND TODDLER	
State has requirements that specifically address the care of infants and/or toddlers	Yes
State has requirements on the supervision of infants and/or toddlers	✓
Each infant must have a primary caregiver	✓
State has requirements for staff working with infants and/or toddlers	
Staff requirements: N/A	
State has requirements on program/activities specifically for infants and/or toddlers	<b>√</b>
State has requirements on equipment/materials specifically for infants and/or toddlers	<b>√</b>
State has requirements for the facility related to the care of infants and/or toddlers	
State has requirements on reducing the risk of Sudden Infant De (SIDS)	ath Syndrome
Infants must be placed on their backs to sleep	✓
Physicians can authorize a different sleep position for infants	✓
Parents can authorize a different sleep position for infants	
Soft bedding/materials must not be used in cribs	✓
Additional requirements on SIDS prevention: In accordance with recommendations from the American Academy of Pediatrics, unless a physician specifies the need for a positioning device that restricts movement within the child's bed, such devices shall not be used.	

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SPECIALIZED CARE – SCHOOL-AGE	<u> </u>
State has requirements that specifically address the care of school-age children	No
State has requirements on the supervision of school-age children	
State has requirements for staff working with school-age children	
Staff requirements: N/A	
State has requirements on program/activities specifically for schoolage children	
State has requirements on equipment/materials specifically for schoolage children	
State has requirements for the facility related to the care of schoolage children	

SPECIALIZED CARE — MILDLY ILL CHILDREN (see also Care of Mildly I	II Children)
State has requirements that specifically address the care of children who are mildly ill	Yes
State has requirements on the supervision of mildly ill children	✓
State has requirements on program/activities specifically for mildly ill children	
State has requirements on equipment/materials specifically for mildly ill children	
State has requirements for the facility related to the care of mildly ill children	

SPECIALIZED CARE – EVENING/OVERNIGHT CARE (see also <u>Supervision of Children During Evening/Overnight Care</u> )	<b>1</b>
State has requirements that specifically address the care of children during evening/overnight hours	Yes
State has requirements on program/activities specifically for the care of children during evening/overnight hours	✓
State has requirements on equipment/materials specifically for the care of children during evening/overnight hours	<b>√</b>
State has requirements for the facility related to the care of children during evening/overnight hours	<b>√</b>

SPECIALIZED CARE – DROP-IN CARE	<b>1</b>
State has requirements that specifically address the care of	No
children in drop-in programs	
State has requirements on the supervision of children in drop-in	
programs	
State has requirements on program/activities specifically for children	
in drop-in programs	

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State has requirements for the facility related to the care of children in drop-in programs

Additional requirements on drop-in care: Conditions for admission of children for drop-in care.

#### **FACILITY REQUIRMENTS**

	<u> </u>
ENVIRONMENT	<b>^</b>
State has requirements about environmental tests of the facility	No
Requires lead tests	
Requires asbestos tests	
Requires radon tests	
State has requirements about environmental inspections	Yes
Requires fire inspections	Required prior to licensing
Requires health inspections	Required prior to licensing
Requires building code inspections	
Additional requirements: N/A	•

SQUARE FOOTAGE	<b>^</b>	
Number of square feet of indoor space per child	32 square feet	
Total amount of square feet for area	N/A	
Additional requirements: N/A		
Number of square feet of outdoor space per child	60 square feet	
Total amount of square feet for area	N/A	
Additional requirements: N/A		
Number of square feet per child, based on age of child		
Per infant	N/A	
Per toddler	N/A	
Per preschool-age child	N/A	
Per school-age child	N/A	
Additional requirements: N/A		

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CONDITION OF FACILITY AND EQUIPMENT	<u> </u>
State has requirements on the condition of the indoor area of the building/facility	<b>✓</b>
State has requirements on the condition of the outdoor area of the building/facility	<b>✓</b>
State has requirements on the condition of indoor equipment	✓
State has requirements for child care centers on the condition of outdoor equipment	<b>~</b>
State has requirements for child care centers on the condition of materials and toys for children	

SAFETY OF FACILITY AND EQUIPMENT	<u> </u>
State has requirements on the safety of indoor equipment	
State has requirements on the safety of outdoor equipment	✓
State has requirements on the surfaces under indoor equipment	
State has requirements on the surfaces under outdoor equipment	✓
Details on state requirements on surfaces under equipment: Concrete or asphalt shall not be used under outdoor playground equipment, except wheel toys.	
State has requirements on sleeping equipment	✓
State requires equipment to be free of components that can pinch, sheer, or crush body tissues	<b>√</b>

OUTDOOR SPACE	<u> </u>
State requires outdoor space is enclosed/has a fence	Yes
Required height for fence: 4 feet	
State has requirements on swimming pools	✓
State has requirements to protect children from bodies of water (ponds, lakes, rivers, etc.)	
State has requirements about alternatives to outdoor space	

FIRE SAFETY	<u> </u>
State has requirements on fire safety in child care centers	Yes
Centers are required to have an evacuation plan for fires	✓
Centers must conduct fire drills	
Time interval for fire drills: N/A	
Additional requirements: N/A	

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GENERAL EMERGENCY PLANS	<u> </u>
Center must have a general emergency plan for all emergencies	No
Center must have a general evacuation plan for all emergencies	
State requires centers to conduct drills for all emergencies	
Time interval between drills: N/A	

EMERGENCY PREPAREDNESS	<b>1</b>
State has requirements on emergency preparedness	Yes
Centers must have emergency plans/procedures for natural disasters (tornados, hurricanes, earthquakes, other weather conditions)	<b>√</b>
Centers must have emergency plans/procedures for utility-related problems (blackouts, etc.)	<b>√</b>
Centers must have emergency plans/procedures for acts of terrorism	
Centers must perform drills of their emergency preparedness procedures (other than fire)	
Additional requirements: N/A	

SECURITY	<b>1</b>
Centers must have a procedure for accepting children when they enter the center and the release of children when they leave	<b>~</b>
Centers must have a procedure for signing children in and out when they enter and exit the facility	<b>✓</b>
Centers must keep daily attendance records of children	✓
Centers must have policies on the monitoring of visitors	
Centers must have security/surveillance cameras	
Additional requirements: Each child being transported by parent(s)/guardian(s) or other designated person(s) shall be accompanied into and out of the center by the parent, guardian, or other person.	

TRANSPORTATION (see also <u>Supervision of Children Transported in Vehicles</u> )	
State has requirements on transporting children in vehicles	Yes
State has requirements about the condition of the vehicle	✓
State has requirements about emergency equipment needed in the vehicle	
States has requirements about seating in the vehicle	✓
State has requirements about vehicle safety restraints for children	✓
State has requirements about seat belts for children	✓
State has requirements about car seats/child restraint systems	✓

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State has requirements about the driver of the vehicle	✓
First aid kit must be kept in the vehicle	
Additional requirements: N/A	

LIABILITY INSURANCE	<u>^</u>
State requires centers to have liability insurance	No
General liability insurance required	No
Amount of insurance required: N/A	
Professional liability insurance required	No
Amount of insurance required: N/A	
Automobile insurance required	No
Amount of insurance required: N/A	
Medical payment insurance required	No
Amount of insurance required: N/A	
Other types of insurance required: N/A	
Additional requirements: N/A	

ADMINISTRATION OF MEDICATION	<u> </u>
State has requirements on the administration of medication to children	Yes
Centers must obtain permission from parent/guardian to administer medication to a child	<b>√</b>
Center must obtain written instructions from the parent/guardian or physician on how to administer the medication (Note: "Yes" response can include the instructions on a prescription or medicine container)	<b>√</b>
Center must maintain records of medications administered to children	✓
Additional requirements: N/A	

MEDICAL PROCEDURES	<u> </u>
State has requirements on performing medical procedures on children	Yes
Centers must obtain permission from parent/guardian to perform a medical procedure on a child	<b>√</b>
Centers must obtain written instructions from the parent/guardian or physician on how to perform the medical procedure	<b>√</b>
Center must maintain records of medical procedures performed on children	<b>√</b>
Additional requirements: N/A	

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# CARE OF MILDLY ILL CHILDREN (see also Specialized Care – Mildly III Children) State has requirements on the care of mildly iII children Centers can admit a child who is mildly iII Centers can exclude a child who is mildly iII

Additional requirements: No child who is ill shall be admitted to the center. Determinations of illness may be based on: the child's inability to participate in the center's activities; the need for additional care that center staff cannot provide without taking time and attention away from the other children; signs of serious or contagious disease or condition, such as but not limited to fever, diarrhea, vomiting, unexplained rash, scabies, head lice; or a physician's diagnosis requiring that the child be separated from other children.

INCIDENT REPORTING	<b>1</b>
Centers must report to the licensing agency all serious injuries that occur to children in the program	<b>~</b>
Centers must keep a record of all serious injuries that occur to children in the program	<b>✓</b>
Centers must report to the licensing agency all deaths that occur to children in the program	<b>√</b>

Additional requirements: The following shall be reported to the Department within 24 hours after occurrence, with written explanation to follow within 5 days: any injury requiring professional medical treatment of any child or staff person while at the center or during away from the center activities. Records of injury/illness occurring at the center or during away from center activities, which require professional medical attention, emergency medical attention, or hospitalization shall be included in children's records.

ADDITIONAL HEALTH REQUIREMENTS	<u> </u>
Centers must have a health consultant	
Centers must have a first aid kit	
Requirements specify items that must be in the first aid kit	
Additional requirements: N/A	

HAND WASHING	<b>1</b>
State has requirements on hand washing for staff	Yes
State has requirements for centers that specify when staff must wash	✓
their hands	_
Center staff must wash their hands after toileting	✓
Center staff must wash their hands after diapering a child	✓
Center staff must wash their hands after toileting a child	✓
<ul> <li>Center staff must wash their hands before and/or after preparing, serving, or eating food</li> </ul>	<b>√</b>

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Center staff must wash their hands after attending to an ill child	
Center staff must wash their hands after handling, feeding, or cleaning up after animals	✓
Other times staff must wash their hands: Contact with bodily fluids	
State has requirements on the location and/or amount of hand washing facilities for staff	
State has requirements on hand washing for children	Yes
State has requirements for centers that specify when children must wash their hands	✓
Children must wash their hands after toileting	✓
Children must wash their hands after diapering	✓
Children must wash their hands before and/or after eating food	✓
Children must wash their hands after handling animals	✓
Other times children must wash their hands: N/A	
State has requirements on the location and/or amount of hand washing facilities for children	✓
Additional requirements: N/A	

DIAPERING	<b>1</b>
State has requirements on diapering	Yes
State requirements specify when a staff person must change a child's diaper	<b>√</b>
Staff person changing diaper must wear gloves	✓
State has requirements on discarding soiled diapers	✓
Diaper changing table and area must be sanitized after each use	✓
A sink must be available exclusively for the diapering area	
Diapering sink must not be used for food preparation	
Requirements on proximity of sink to diapering area: One hand washing sink with warm running water, soap, and disposable paper towels, shall be located in each room where children are diapered.  Additional requirements: N/A	

SMOKING POLICIES	<u> </u>
State has requirements about smoking in centers	Yes
Smoking is not allowed in a child care center	✓
Smoking is not allowed on the grounds of a child care center	✓
Smoking is not allowed in the presence of children	
Smoking is not allowed in areas used for the care of children	
Smoking is not allowed in areas where food is prepared	
Smoking is not allowed in a vehicle while transporting children	

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Smoking is allowed in a designated area		
Additional requirements: Smoking or tobacco usage is prohibited on the	center premises.	

HAZARDOUS MATERIALS	<b>1</b>
State has requirements about the accessibility of hazardous supplies/materials	Yes
Hazardous supplies/materials must be kept out of the reach of children	
Additional requirements: N/A	

FIREARMS	
State has requirements about the presence of firearms in the facility	Yes
Firearms are not allowed in child care centers	✓
Firearms are allowed in child care centers, but must be in a locked container or closet	
Firearms are allowed in child care centers, but ammunition must be separate from the firearm	
Center must notify parents of the presence of firearms in the facility	
Additional requirements: No firearms or ammunition shall be kept or allowed in the with the exception of law enforcement officers.	

ANIMALS IN FACILITY	
State has requirements about animals in the facility	Yes
Animals are allowed in child care centers	✓
Certain animals are prohibited in child care centers	✓
State has requirements about the care and health of animals in child care centers	<b>√</b>
Centers must notify parents of the presence of animals	
Additional requirements: N/A	

Key:	
✓ = Yes	NARA = National Association for Regulatory Administration
♠ = Click to go back to top	NCCIC = National Child Care Information and Technical Assistance
	Center
N/A = Not Applicable/Not	NRC = National Resource Center for Health and Safety in Child
Addressed	Care and Early Education